

**Kentucky Horse Racing Commission**  
Meeting Materials for Regular Meeting  
February 21, 2023



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**MINUTES OF THE JANUARY 23, 2023 KENTUCKY HORSE RACING COMMISSION MEETING**

Date: January 23, 2023 at 10:00 AM

Place: Via Zoom and Kentucky Horse Racing Commission, 4063 Iron Works Parkway, Building B, Lexington, Kentucky 40511

Members Present: Chairman Jonathan Rabinowitz; Vice-Chairman Frank Jones, Jr.; Commissioner Naveed Chowhan M.D.; Commissioner Tiffany Daniels, D.C.; Commissioner Greg Harbut; Commissioner William L.S. Landes; Commissioner Charles O'Connor; Commissioner Catherine Parke; Commissioner James Worley; and Commissioner Dr. Tom Riddle

Ex-officio Members Present: Secretary Ray Perry; Angelica Sanchez-Vega

KHRC Staff Participating/Present: Jamie Eads, Executive Director; Waqas Ahmed, Interim Deputy Executive Director; Jennifer Wolsing, General Counsel; Barbara Borden, Chief State Steward; Joanna Ricci, Resource Management Analyst and Pari-Mutuel Wagering Compliance; Mariah Dietz, Executive Administrative Secretary; Beth Jacobson, Paralegal

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<b>I. Call to Order and Roll Call</b>	4 – 5	Chairman Rabinowitz called the meeting of the Kentucky Horse Racing Commission (KHRC) to order and performed the roll call. A quorum was recognized.	No action taken.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>II. New Business  Tab 1 Meeting  Minutes from August 16, 2022 and September 20, 2022</p>	<p>5 – 9  5 – 6</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed minutes of the KHRC meeting of December 13, 2022. Motion by Vice-Chairman Jones. Second by Commissioner O’Connor.</p> <p>Executive Director Eads shared that the minutes were located behind tab number one and that approval was recommended by KHRC Staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed minutes of the December 13, 2022 meeting as presented. Motion carried with no objections or abstentions.</p>
<p>III. Executive Session  The meeting on January 23, 2023 convened under closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation (CONFIDENTIAL)</p>	<p>6   7</p>	<p>Chairman Rabinowitz requested a motion from the Commission to convene in closed session to discuss proposed or pending litigation against or on behalf of the agency, as permitted by KRS Chapter 61.810(1)(c). Motion by Commissioner Worley. Second by Commissioner Landes.</p> <p style="text-align: center;"><b><u>EXECUTIVE SESSION</u></b></p> <p>Chairman Rabinowitz requested a motion from the Commission to reconvene in open session. Motion by Commissioner Landes. Second by Commissioner Parke.</p> <p style="text-align: center;"><b><u>RECONVENED TO OPEN SESSION</u></b></p>	<p>Chairman Rabinowitz called for a vote for the Commission to convene in closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote for the Commission to reconvene in open session. Motion carried with no objections or abstentions.</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<b>IV. Other Business</b>	7 - 8	<p>Chairman Rabinowitz requested a motion from the Commission to address a recommended final order in KRS 13B administrative action Steven Asmussen v. KHRC, action numbers 19-TB-003 and 19-TB-014, that adopts and approves the recommended order from the Hearing Officer, with two modifications. Motion by Commissioner Landes. Second by Commissioner Parke.</p> <p>Chairman Rabinowitz stated that the recommended order from the Hearing Officer would be approved in part and that the order would be modified (1) to clarify that the standard of proof is the preponderance of evidence and (2) note that the KHRC made no finding regarding the type of administration. Counsel was directed to prepare an order reflecting same.</p>	
<b>V. Adjournment</b>	8 - 9	<p>With no other business, Chairman Rabinowitz requested a motion to adjourn the January 23, 2023 Special Meeting. Motion by Commissioner Worley. Second by Commissioner O'Connor. Meeting adjourned.</p>	<p>Chairman Rabinowitz called for a vote to adjourn. Motion carried with no objections or abstentions.</p>

**NOTE:** The meeting materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.



**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

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**Ray A. Perry**  
SECRETARY

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Bruce A. Howard, DVM, Equine Medical Director  
DATE: January 30, 2023  
  
RE: **PROPOSED AMENDMENT TO 810 KAR 8:020**

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Levamisole is an anthelmintic with immunomodulatory and anti-inflammatory properties. It has reportedly been used as an Off Label medication for Equine Protozoal Myelitis. Its use for this and other treatments has been potentially problematic, as levamisole metabolizes to other regulated substances (aminorex and pemoline. Both aminorex and pemoline are Class A substances in Kentucky, Class 1 substances on the ARCI list and banned by HISA.) For some time, there was very little information regarding multiple-day administration. Notably, levamisole as a single medication is FDA-approved as a dewormer for many other species but not equines.

#### Levamisole Timeline

- In September 2012 the KHRC issued a memorandum reclassifying levamisole from a Class B to a Class A substance.
- In December 2012 a horse tested positive for levamisole, leading to a disqualification of the horse as well as a fine and suspension for the trainer in February 2013.
- In April 2014 following an administrative hearing, the KHRC adopted the Recommended Order affirming the stewards ruling.
- In June 2015 the trainer appealed to Franklin Circuit Court and won. Judge Philip Shepherd held that “the classification of levamisole as a Class A substance is arbitrary and capricious.”
- In August 2015 the EDRC voted to classify aminorex as a Class A substance and also to classify levamisole as a Class B substance and commission a study to

determine if Levamisole administration leads to aminorex, and also determine and appropriate withdrawal period for levamisole.

- In August 2015 the Commission voted to declassify Levamisole.

Levamisole Study

The EDRC-approved study was performed and samples were collected and analyzed in 2019.

The data was studied, a paper was written, and the project was presented at the AAEP convention in San Antonio in November 2022. The study showed an extended clearance time for levamisole, with aminorex being detected in the urine for about three days following the 7-day administration of levamisole. Levamisole was detected in one of the six horses in the study at 21 days following the last administration.

Levamisole is currently classified as a Class II substance by the ARCI and a Class B substance by HISA.

Recommendation

The EDRC at its January 27, 2023, meeting heard the presentation regarding the levamisole administration study and discussed the re-inclusion of levamisole on the KHRC medication list as a Class B. The EDRC voted unanimously to place levamisole on the KHRC list as a Class B substance.

The KHRC staff feels that Levamisole should be placed back on the KHRC list as a Class B to be in alignment with ARCI and HISA listings. We would also recommend that any horse which has been given levamisole within the past 30 days be tested prior to racing.

The KHRC staff recommends approval.

COMMISSION ACTION

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny

PUBLIC PROTECTION CABINET

KENTUCKY HORSE RACING COMMISSION

(Amendment)

810 KAR 8:020. Drug, medication, and substance classification schedule.

RELATES TO: KRS 230.215, 230.225, 230.240, 230.260, 230.265, 230.290, 230.320,  
230.370

STATUTORY AUTHORITY: KRS 230.215(2), 230.225, 230.240(2), 230.260, 230.320,  
230.370

NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) authorizes the Kentucky Horse Racing Commission (the "commission") to promulgate administrative regulations prescribing conditions under which all legitimate horse racing and wagering thereon is conducted in Kentucky. KRS 230.240(2) requires the commission to promulgate administrative regulations restricting or prohibiting the administration of drugs or stimulants or other improper acts to horses prior to the horse participating in a race. This administrative regulation establishes the drug classification schedule in effect in Kentucky for permitted drugs, medications, and substances that may be administered to race horses competing in Kentucky.

Section 1. The Kentucky Horse Racing Commission Uniform Drug, Medication, and Substance Classification Schedule.

(1) This administrative regulation shall establish the respective classifications of all substances contained herein.

(2)



- 1 (a) Class A drugs, medications, and substances are those that:
- 2 1. Have the highest potential to influence performance in the equine athlete, regardless of their
- 3 approval by the United States Food and Drug Administration; or
- 4 2. Lack approval by the United States Food and Drug Administration, but have pharmacologic
- 5 effects similar to certain Class B drugs, medications, or substances that are approved by the
- 6 United States Food and Drug Administration.

(b) Class A shall include:

Acecarbromal

Acetophenazine

Adinazolam

Alcuronium

Alfentanil

Almotriptan

Alphaprodine

Alpidem

Alprazolam

Alprenolol

Althesin

Aminorex

Amisulpride

Amitriptyline

Amobarbital

Amoxapine

Amperozide

Amphetamine

Amyl nitrite

Anileridine

Anilopam

Apomorphine

Aprobarbital

Arecoline  
Atracurium  
Atomoxetine  
Azacylonol  
Azaperone  
Barbital  
Barbiturates  
Bemegride  
Benazepril  
Benperidol  
Bentazepam  
Benzactizine  
Benzocetamine  
Benzonatate  
Benzphetamine  
Benztropine  
Benzylpiperazine  
Bethanidine  
Biperiden  
Biriperone  
Bitolterol  
Bolasterone  
Boldione  
Brimondine

Bromazepam  
Bromfenac  
Bromisovalum  
Bromocriptine  
Bromperidol  
Brotizolam  
Bufexamac  
Bupivacaine  
Buprenorphine  
Buspirone  
Bupropion  
Butabartital  
Butacaine  
Butalbital  
Butanilicaine  
Butaperazine  
Butoctamide  
Calusterone  
Camazepam  
Cannabinoids, Synthetic  
Captadiame  
Carazolol  
Carbidopa  
Carbromal

Carfentanil  
Carphenazine  
Carpipramine  
Cathinone  
Chloral betaine  
Chloral hydrate  
Chloraldehyde  
Chloralose  
Chlordiazepoxide  
Chlorhexadol  
Chlormezanone  
Chloroform  
Chlorprocaine  
Chlorproethazine  
Chlorpromazine  
Chlorprothixene  
Cimaterol  
Citalopram  
Clibucaine  
Clobazam  
Clocapramine  
Clomethiazole  
Clomipramine  
Clonazepam

Clorazepate  
Clormecaine  
Clostebol  
Clothiapine  
Clotiazepam  
Cloxazolam  
Clozapine  
Cobratoxin  
Cocaine  
Codeine  
Conorphone  
Conotoxin  
Corticaine  
Crotetamide  
Cyamemazine  
Cyclandelate  
Cyclobarbital  
Darbepoetin  
Decamethonium  
Dehydrochloromethy-testosterone  
Delorazepam  
Demoxepam  
Dermorphin  
Desipramine

Desoxymethyl-testosterone  
Dextromoramide  
Dezocine  
Diamorphine  
Dichloralphenazone  
Diethylpropion  
Diethylthiambutene  
Dihydrocodeine  
Dimeflin  
Diprenorphine  
Divalproex  
Dixyrazine  
Donepezil  
Dopamine  
Doxacurium  
Doxapram  
Doxazosin  
Doxefazepam  
Doxepin  
Droperidol  
Duloxetine  
Eletriptan  
Enalapril  
Enciprazine

Endorphins  
Enkephalins  
Ephedrine  
Epibatidine  
Epinephrine  
Ergaloid Mesylates  
Erthritol tetranitrate  
Erythropoietin  
Eszopiclone  
Estazolam  
Ethamivan  
Ethanol  
Ethchlorvynol  
Ethinamate  
Ethoheptazine  
Ethopropazine  
Ethosuximide  
Ethylisobutrazine  
Ethylmorphine  
Ethylnorepinephrine  
Ethylphenidate  
Etidocaine  
Etifoxin  
Etizolam



Etodroxizine  
Etomidate  
Etorphine HCL  
Fenarbamate  
Fenfluramine  
Fentanyl  
Fluanisone  
Fludiazepam  
Flunitrazepam  
Fluopromazine  
Fluoresone  
Fluoxetine  
Flupenthixol  
Flupirtine  
Flurazepam  
Fluspirilene  
Flutoprazepam  
Fluvoxamine  
Formebolone  
Fosinopril  
Furzabol  
Galantamine  
Gallamine  
Gepirone

Gestrinone  
Glutethimide  
Guanadrel  
Guanethidine  
Halazepam  
Haloperidol  
Haloxazolam  
Hemoglobinglutamers  
Hemopure  
Hexafluorenium  
Hexobarbital  
Homophenazine  
Hydrocodone  
Hydromorphone  
Hydroxyamphetamine  
Ibomal  
Iloprost  
Imipramine  
InositolTrispyrophosphate  
Ipsapirone  
Irbesarten  
Isocarboxazid  
Isomethadone  
Isoproterenol

Ketazolam  
Ketorolac  
Lamotrigine  
Lenperone  
Levodopa  
Levomethorphan  
Levorphanol  
Lisinopril  
Lithium  
Lobeline  
Lofentanil  
Loflazepate, Ethyl  
Loprazolam  
Lorazepam  
Lormetazepam  
Loxapine  
Mabuterol  
Maprotiline  
Mazindol  
Mebutamate  
Meclofenoxate  
Medazepam  
Meldonium  
Melperone

Memantine

Meparfynol

Mepazine

Meperidine

Mephenoxalone

Mephentermine

Mephénytoin

Mephobarbital

Meprobamate

Mesoridazine

Mestanolone

Mesterolone

Metaclazepam

Metaraminol

Metazocine

Methachloline

Methadone

Methamphetamine, when detected exclusively as d-methamphetamine or in combination with l-methamphetamine

Methandriol

Methandrostenolone

Methaqualone

Metharbital

Methasterone

Methcathinone

Methenolone

Methixene

Methohexital

Methotrimeprazine

Methoxamine

Methoxyphenamine

3-Methoxytyramine

Methyl-1-testosterone

Methylandriostenediol

Methyldienolone

Methyldopa

MethyleneDioxypyrovalene(MDPV; 3,4Methylenedioxy-pyrovalerone)

Methylhexaneamine

Methylnortestosterone

Methylphenidate

Methyprylon

Metocurine

Metomidate

Metopon

Mexazolam

Mirtazapine

Mivacurium

Modafinil

Molindone  
Moperone  
Morphine  
Mosapramine  
Muscarine  
Naepaine  
Nalbuphine  
Nalorphine  
Nebivolol  
Nefazodone  
Nefopam  
Nikethamide  
Nimetazepam  
Nitrazepam  
Norbolethone  
Norclostebol  
Nordiazepam  
Norepinephrine  
Norethandrolone  
Nortriptyline  
Nylidrin  
Olanzapine  
Olmesartan  
Oxabolone

Oxazepam  
Oxazolam  
Oxcarbazepine  
Oxilofrine  
Oxprenolol  
Oxycodone  
Oxymesterone  
Oxymorphone  
Oxypertine  
Paliperidone  
Pancuronium  
Papaverine  
Paraldehyde  
Paramethadione  
Pargyline  
Paroxetine  
Pemoline  
Penfluridol  
Pentaerythritol  
Pentobarbital  
Pentylentetrazol  
Perazine  
Perfluorocarbons  
Perfluorodecahydro-naphthalene

Perfluorodecalin

Perfluorooctylbromide

Perfluorotripropylamine

Periciazine

Perindopril

Perlapine

Perphenazine

Phenaglycodol

Phenazocine

Phencyclidine

Phendimetrazine

Phenelzine

Phenmetrazine

Phenobarbital

Phentermine

Physostigmine

Picrotoxin

Piminodine

Pimozide

Pinazepam

Pipamperone

Pipecuronium

Pipequaline

Piperacetazine



Piperocaine  
Pipotiazine  
Pipradrol  
Piquindone  
Pirtramide  
Prazepam  
Procaterol  
Prochlorperazine  
Propanidid  
Propiomazine  
Propionylpromazine  
Propiram  
Propofol  
Propoxycaine  
Prostanazol  
Prothipendyl  
Protokylol  
Protriptyline  
Proxibarbital  
Pyrithyldione  
Quazipam  
Quetiapine  
Quinapril / Quinaprilat  
Quinbolone

Racemethorphan  
Racemorphan  
Raclopride  
Ractopamine  
Ramipril / Ramiprilat  
Remifentanil  
Remoxipride  
Rilmazafone  
Risperidone  
Ritanserlin  
Rivastigmine  
Rocuronium  
Ropivacaine  
Secobarbital  
Selegiline  
Sertraline  
Sildenafil  
Snake Venoms  
Somatrem  
Somatropin  
Spiclomazine  
Spiperone  
Spirapril / Spiraprilat  
Stenbolone

Succinylcholine  
Sufentanil  
Sulfondiethylmethane  
Sulfonmethane  
Sulforidazine  
Sulpiride  
Sultopride  
Tadalafil  
Talbutal  
Tandospirone  
Temazepam  
Terazosin  
Tetrabenazine  
Tetracaine  
Tetrahydrogestrinone  
Tetrazepam  
Thebaine  
Thialbarbital  
Thiamylal  
Thiethylperazine  
Thiopental  
Thiopropazate  
Thiopropazine  
Thioridazine

Thiothixene  
Tiapride  
Tiletamine  
Timiperone  
Tofisopam  
Topirimate  
Torseamide  
Tranlycypromine  
Trazodone  
Tretoquinol  
Triazolam  
Tribromethanol  
Tricaine  
Trichloroethanol  
Trichloroethylene  
Triclofos  
Trifluomeprazine  
Trifluoperazine  
Trifluoperidol  
Triflupromazine  
Trihexylphenidyl  
Trimethaphan  
Trimipramine  
Tubocurarine

Tybamate

Urethane

Valerenic Acid

Valnoctamide

Vardenafil

Venlafaxine

Veralipride

Vercuronium

Viloxazine

Vinbarbital

Vinylbital

Zaleplon

Ziconotide

Zilpaterolhydrochloride

Ziprasidone

Zolazepam

Zolpidem

Zopiclone

Zotepine

Zuclopenthixol

(3)

(a) Class B drugs, medications, and substances are those that:

1. Are approved by the United States Food and Drug Administration and have a high potential to influence performance in the equine athlete, but less potential than Class A drugs, medications, and substances that are classified at that level because they have the highest potential to influence performance; or

2. Lack approval by the United States Food and Drug Administration, but have pharmacologic effects similar to certain Class C drugs, medications, or substances that are approved by the United States Food and Drug Administration.

(b) Class B shall include:

2-Aminoheptane

Acebutolol

Acepromazine

Acetanilid

Acetophenetidin

Adrenochromemonosemicarbazonesalicylate

Albuterol

Alclofenac

Aldosterone

Am benonium

Ambroxol

Amiloride

Aminophylline

Aminopyrine

Amiodarone

Amisometradine

Amitraz

Amlodipine

Amrinone

Anisotropine

Antipyrine  
Apazone  
Aprindine  
Arformoterol  
Articaine  
Atenolol  
Atropine  
Baclofen  
Bendroflumethiazide  
Benoxaprofen  
Benzocaine  
Benzthiazide  
Bepidil  
Betaxolol  
Bisoprolol  
Boldenone  
Bretylum  
Bromhexine  
Bromodiphenhydramine  
Brompheniramine  
Bumetanide  
Butorphanol  
Butoxycaine  
Caffeine

Candesartan  
Captopril  
Carbachol  
Carbamezapine  
Carbazochrome  
Carbinoxamine  
Carisoprodol  
Carprofen  
Carteolol  
Carticaine  
Carvedilol  
Celecoxib  
Chlormerodrin  
Chlorothiazide  
Chlorpheniramine  
Chlorthalidone  
Chlorzoxazone  
Cilostazol  
Clanobutin  
Clemastine  
Clenbuterol  
Clidinium  
Clofenamide  
Clonidine



Colchicine  
Cyclizine  
Cyclobenzaprine  
Cyclothiazide  
Cycrimine  
Cyproheptadine  
Danazol  
Deracoxib  
Detomidine  
Dextromethorphan  
Dextropropoxyphene  
Diazepam  
Diazoxide  
Dibucaine  
Diflunisal  
Digitoxin  
Digoxin  
Dihydroergotamine  
Diltiazem  
Dimethisoquin  
Diphenhydramine  
Diphenoxylate  
Dipyridamole  
Disopyramide

Dobutamine  
Doxylamine  
Dromstanolone  
Dyphylline  
Edrophonium  
Eltenac  
Enalapril  
Ergotamine  
Esmolol  
Etamiphylline  
Etanercept  
Ethacrynic acid  
Ethotoin  
Ethylestrenol  
Etodolac  
Felbamate  
Felodipine  
Fenbufen  
Fenclozic acid  
Fenoldopam  
Fenoprofen  
Fenoterol  
Fenspiride  
Fentiazac

Flecainide  
Floctafenine  
Flufenamic acid  
Flumethiazide  
Flunarizine  
Fluoroprednisolone  
Fluoxymesterone  
Fluphenazine  
Flurbiprofen  
Formoterol  
Fosphenytoin  
Gabapentin  
Guanabenz  
Heptaminol  
Hexocyclium  
Hexylcaine  
Homatropine  
Hydralazine  
Hydrochlorthiazide  
Hydroflumethiazide  
Hydroxyzine  
Ibutilide  
Indomethacin  
Infliximab

Ipratropium

Isoetharine

Isometheptene

Isopropamide

Isosorbide dinitrate

Isoxicam

Isradipine

Kebuzone

Ketamine

L-methamphetamine, when detected by itself and not in combination with d-methamphetamine

Labetalol

[Levimasole](#)

Levobunolol

Lidocaine

Loperamide

Losartan

Mecamylamine

Meclizine

Medetomidine

Mefenamic acid

MelMepenzolate

Mephesisin

Mepivacaine

Meralluride

Merbaphen  
Mercaptomerin  
Mercumatilin  
Mersalyl  
Metaproterenol  
Metaxalone  
Methantheline  
Methapyrilene  
Methdilazine  
Methosuxamide  
Methotrexate  
Methscopolamine  
Methylatropine  
Methylchlorthiazide  
Methysergide  
Methyltestosterone  
Metiamide  
Metolazone  
Metoprolol  
Mexilitine  
Mibefradil  
Mibolerone  
Midazolam  
Midodrine

Milrinone  
Minoxidil  
Moexipriloxicam  
Nadol  
Naloxone  
Naltrexone  
Nandrolone  
Naphazoline  
Naratriptan  
Neostigmine  
Nicardipine  
Nifedipine  
Niflumic acid  
Nimesulide  
Nimodipine  
Nitroglycerin  
Nortestosterone  
Orphenadrine  
Oxandrolone  
Oxaprozin  
Oxymetazoline  
Oxymetholone  
Oxyphencyclimine  
Oxyphenonium

Penbutolol  
Pentazocine  
Pergolide  
Phenacemide  
Phenoxybenzamine  
Phensuximide  
Phentolamine  
Phenylephrine  
Phenylpropanolamine  
Phenytoin  
Pindolol  
Pirbuterol  
Piretanide  
Piroxicam  
Polythiazide  
Prazosin  
Prilocaine  
Primidone  
Procainamide  
Procaine  
Procyclidine  
Promazine  
Promethazine  
Propafenone

Propantheline  
Propentophylline  
Propranolol  
Propylhexedrine  
Pseudoephedrine  
Pyridostigmine  
Pyrilamine  
Quinidine  
Reserpine  
Ritodrine  
Rizatriptan  
Rofecoxib  
Romifidine  
Salmeterol  
Scopolamine  
Sibutramine  
Sotalol  
Spironalactone  
Stanozolol  
Strychnine  
Sumatriptan  
Telmisartin  
Tenoxicam  
Tepoxalin



Terbutaline  
Terfenadine  
Testolactone  
Testosterone  
Tetrahydrozoline  
Theobromine  
Theophylline  
Thiosalicylate  
Thiphenamil  
Tiaprofenic acid  
Timolol  
Tocainide  
Tolazoline  
Tolmetin  
Tramadol  
Trandolapril  
Trenbolone  
Triamterene  
Tridihexethyl  
Trimeprazine  
Trimethadione  
Tripelethamine  
Triprolidine  
Valdecoxib

Valsartan

Vedaprofen

Verapamil

Xylazine

Xylometazoline

Yohimbine

Zolmitriptan

Zomepirac

Zonisamide

(4)

(a) Class C drugs, medications, and substances are those that:

1. Are approved by the United States Food and Drug Administration and have a lesser potential to influence performance in the equine athlete than Class A drugs, medications, and substances and those Class B drugs, medications, and substances that are classified at that level because they have a high potential to influence performance and are approved by the United States Food and Drug Administration; or
2. Lack approval by the United States Food and Drug Administration, but have pharmacologic effects similar to certain Class D drugs, medications, or substances that are approved by the United States Food and Drug Administration.

(b) Class C shall include:

Acenocoumarol

Acetaminophen

Acetazolamide

Acetylsalicylic acid

Alclometasone

Amcinonide  
Aminocaproic acid  
Beclomethasone  
Benoxinate  
Betamethasone  
Bethanechol  
Budesonide  
Butamben  
Camphor  
Cetirizine  
Chlorophenesin  
Chloroquine  
Ciclesonide  
Clobetasol  
Clocortolone  
Cortisone  
Cyclomethylcaine  
Dantrolene  
Dembroxol  
Deoxycorticosterone  
Desonide  
Desoximetasone  
Dexamethasone  
Dibucaine

Dichlorphenamide  
Diclofenac  
Diflorasone  
Diflucortolone  
Dimethylsulfoxide  
Diphenadione  
Dipyron  
Dyclonine  
Ergonovine  
Ethoxzolamide  
Ethylaminobenzoate  
Fexofenadine  
Firocoxib  
Fludrocortisone  
Flumethasone  
Flunisolide  
Flunixin  
Fluocinolone  
Fluocinonide  
Fluorometholone  
Fluprednisolone  
Flurandrenolide  
Fluticasone  
Furosemide

Glycopyrrolate  
Guaifenesin  
Halcinonide  
Halobetasol  
Hydrocortisone  
Ibuprofen  
Isoflupredone  
Ketoprofen  
Letosteine  
Loratidine  
Meclofenamic acid  
Medrysone  
Mesalamine  
Methazolamide  
Methocarbamol  
Methylergonovine  
Methylprednisolone  
Metoclopramide  
Mometasone  
Montelukast  
N-butylscopolamine  
Nabumetone  
Naproxen  
Olsalazine

Oxyphenbutazone

Paramethasone

Phenylbutazone

Pirenzapine

Pramoxine

Prednisolone

Prednisone

Probenecid

Proparacaine

Salicylamide

Salicylate

Sulfasalazine

Sulindac

Tranexamic acid

Triamcinolone acetonide

Trichlormethiazide

Zafirlukast

Zeranol

Zileuton

(5)

(a) Class D drugs, medications, and substances are those that:

1. Have a lesser potential to influence performance in the equine athlete than Class A and B drugs, medications, and substances or those Class C drugs, medications, and substances that are classified at that level because they have a lesser potential to influence performance and are not approved by the United States Food and Drug Administration; or

2. Have a lesser potential to influence performance in the equine athlete than any Class A, B, or C drugs, medications or substances.

(b) Class D shall include:

Anisindione

Cimetidine

Cromolyn

Dicumarol

Esomeprazole

Famotidine

Isoxsuprine

Lansoprazole

Misoprostol

Nedocromil

Nizatidine

Omeprazole

Pantoprazole

Pentoxifylline

Phenindione

Phenprocoumon

Polyethylene glycol

Rabeprazole

Ranitidine

Warfarin

# Detection of Levamisole and Its Metabolites in Horses after Oral Levamisole Administration over 7 Days

Emma Adam, BVetMed, PhD, MRCVS, DACVIM, DACVS\*; Mary Scollay, DVM; Bruce Howard, DVM; John Taormina, DVM; Petra Hartmann, MS, FAORC; and Heather Knych, DVM, PhD, DACVCP

Levamisole disposition varies between horses with detection lasting for days to weeks following multiple doses. Authors' addresses: Gluck Equine Research Center, University of Kentucky, Lexington, KY 40546 (Adam); Racing Medication and Testing Consortium, 821 Corporate Drive, Lexington, KY 40503 (Scollay); Kentucky Horse Racing Commission, 4063 Iron Works Pkwy, Building B, Lexington, KY 40511 (Howard, Taormina); Industrial Laboratories, 6116 E Warren Avenue, Wheat Ridge, CO 80222 (Hartmann); KL Maddy Equine Analytical Pharmacology Lab, University of California, Davis, School of Veterinary Medicine, Davis, CA 95616 (Knych); e-mail: emma.adam@uky.edu. \*Corresponding and presenting author. © 2022 AAEP.

## 1. Introduction

Levamisole is sometimes administered to racehorses to treat EPM or pharyngeal lymphoid hyperplasia. Metabolites of levamisole, aminorex and pemoline, are ARCI Class I Foreign Substances. The presence of levamisole and some metabolites in post-race regulatory samples is prohibited. Previous studies have examined single doses of the drug, and the paucity of data on the disposition of levamisole after multiple, consecutive doses led to this study being performed. This study examined the disposition of levamisole in serum and urine after 7 days of a typical dosing regimen.

## 2. Materials and Methods

Six healthy Thoroughbred geldings were treated with 500 mg of levamisole hydrochloride orally at 12-hour

intervals for 7 days. Serum and urine samples were analyzed over a 28-day period and pharmacokinetic parameters determined.

## 3. Results

The terminal half-life of levamisole in serum was highly variable between horses. Following the final dose: serum levamisole was above LOD in 5/6 horses for 3-14 days (one horse remained over LOD on the last testing day), compound II was below LOD in all 6 horses by 14 days. Aminorex and pemoline were not detected in serum in the post-administration period.

In urine following the final dose, levamisole was below LOD on Day 6 and aminorex was below LOD on Day 3. Compound II was above LOD in 4/6 horses on the last day of sampling (Day 28).

---

*Research Abstract— for more information, contact the corresponding author*

---

## NOTES



**MEDICINE I**

**4. Discussion**

Levamisole and its metabolites were detected for an extensive period of time after completion of a 7-day course.

**Acknowledgments**

The authors wish to thank New Vocations Farm, for providing the horses. Chris Ware, for assisting in processing samples. Robin Sauer, LVT for assisting in levamisole administration.

*Declaration of Ethics*

The authors have adhered to the Principles of Veterinary Medical Ethics of the AVMA.

*Conflicts of Interest*

The authors have no conflicts of interest.

## AUTHOR QUERIES

**AUTHOR PLEASE ANSWER ALL QUERIES**

---

# Detection of Levamisole and its Metabolites in Horses After Oral Levamisole Administration over 7 days.



Emma Adam  
Mary Scollay  
Bruce Howard  
John Taormina  
Petra Hartmann  
Heather Knych

2022  
ANNUAL  
CONVENTION  
& TRADE SHOW



Images: [www.google.com](http://www.google.com) . None represent individuals and are purely for decoration



Funding Sources – none



Financial Interests – none



Conflicts of Interest – none



Ethical Considerations – the speaker has adhered to AVMA's Principles of Veterinary Medical Ethics.

# Levamisole

---

L-isomer in a racemic mix (tetramisole)

---

Described as anthelmintic, immunomodulatory, anti-inflammatory.

---

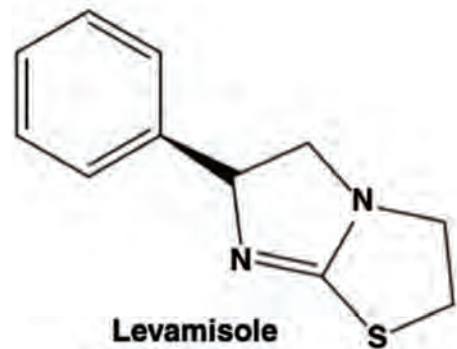
Off label use for Equine Protozoal Myelitis  
- *immunocompromise*

---

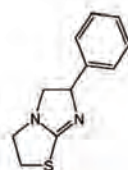
Scant information on multiple day administration.

---

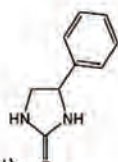
**Use in racehorses has led samples positive for regulated substances**



From Barker, 2009. J. Vet. Pharmacol. Therap. 32, 160–166

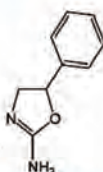


**Levamisole**

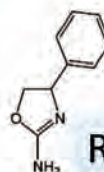


4-phenyl-2-imidazolidinone (II)

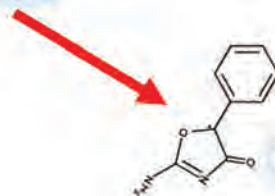
**Compound II**



**Aminorex**



**Rexamino**

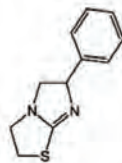


**Pemoline**

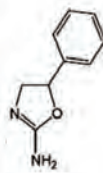
From Ho *et al* 2009 and Hess *et al* 2014,

ARCI Class II  
Foreign Substance

HISA  
Controlled Substance



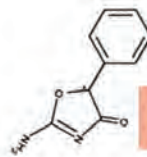
Levamisole



Aminorex

ARCI Class I  
Foreign Substances

HISA Banned  
Substances



Pemoline

## Study Design

- 6 TB geldings recently retired from racing – est. 500-550kg
  - Stalled by day, paddock at night
  - F/c hay and water
  - Examined by KHRC vet
  - CBC/chem screening
- 
- 500mg Levamisole Hydrochloride paste  
PO q12h for 13 doses.

*Compounded by PCAB Pharmacy*

*Analyzed against label concentration by RMTC accredited lab*





## Sampling – blood

- Day 1 – day of 1<sup>st</sup> dose
  - Day 7 – day of last dose
- } IVC and Samples drawn  
Pre: 0.25h  
Post: 0.25h, 0.5h, 1h,  
2h, 4h, 8h, 12h
- Days 2 – 6 before morning dose
  - Days 8 – 14, Day 21, Day 28
  - Serum frozen for batch analysis



## Sampling - urine

- Day 1 – day of 1<sup>st</sup> dose
- Day 7 – day of last dose



Before 1<sup>st</sup> dose, then 4h, 8h, 12h

- Days 2 – 6 before morning dose
- Days 8 – 14, Day 21, Day 28
- Frozen for batch analysis





## Analysis

- LC/MS
- Non-compartmental model for PK parameters.
- Experimental lower limits of detection (LOD) for blood analysis
  - Levamisole 0.05ng/mL
  - Compound II 0.15ng/mL
  - Aminorex 0.5ng/mL
  - Pemoline 1ng/mL
- Experimental LOD for urine analysis
  - Levamisole 0.15ng/mL
  - Compound II 0.5ng/mL
  - Aminorex 0.15ng/mL
  - Pemoline 10ng/mL

## Results – serum



### LEVAMISOLE:

- 5/6 horses below LOD 3 – 14 days post last dose
  - \*\*One horse - above LOD on final sampling 21 days after last dose

### COMPOUND II:

- All 6 horses below LOD 14 days after last dose

Aminorex and pemoline not detected

## Results – urine

### LEVAMISOLE:

- Below LOD 6 days after last dose – all six horses

### AMINOREX:

- Below LOD 3 days after last dose – all six horses

### COMPOUND II:

- 4/6 horses above LOD on final day of sampling 21 days after last dose
- One horse - final sample obtained 14d after last dose was above LOD
- One horse - final sample obtained 14d after last dose was below LOD

Pemoline not detected



## Assessment



- Levamisole was detected for a longer period of time following multiple dose administration as compared to a single dose
- Highly variable clearance time between horses in this study

- Recent study examining the metabolism of a botanical substance, barbarin, suggests that equine urine samples may be positive for aminorex after the ingestion of a botanical source of barbarin (*Barbarea vulgaris*; “yellow rocket”; n = 2).

- *Compound II not detected.*

- Presence/absence of Compound II may be used to discern source of aminorex detected in urine



## Study Limitations

- Horses not in active race training
- Fixed dose administered.  
(better represents field administration)
- Paste administration
- Compounded product
- Small number of horses of one gender





## Take Home

- Variable clearance time between horses
- Compound II detected longer than other analytes
- Levamisole may be detected in both serum and urine for a minimum of 21 days following 7 days of twice daily administration
- Accumulation indices: effect of multi-day administration



Thank you



Publication under review in Journal of Veterinary Pharmacology & Therapeutics



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 07, 2023

RE: **KEENELAND ASSOCIATION, INC.'S APPLICATION FOR MONIES FROM THE BACKSIDE IMPROVEMENT FUND**

---

On December 12, 2022, the Kentucky Horse Racing Commission (KHRC) received an application for monies from the Backside Improvement Fund from Keeneland Association, Inc (Keeneland). Keeneland has applied for the following:

- 1. \$127,565.30 for Stall Jam Fabrication and Mounting Hardware

KHRC staff confirms the project meets the statutory requirements of KRS 230.3615, 810 KAR 7:010 and the funding is available. KHRC staff will inspect the installation once the project is complete. All invoices, photographs, and communication will remain on file for Commission review. The KTA/KTOB along with the KYHBPA have also reviewed, giving their approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve  
\_\_\_\_\_ Defer  
\_\_\_\_\_ Deny



Keeneland Association, Inc.  
4201 Versailles Road  
Lexington, KY 40510  
P.O. Box 1690  
Lexington, KY 40588-1690  
800 251-3412 Telex  
800 456-3412  
800 288-4447 Fax  
www.keeneland.com

December 12, 2022

Ms. Jamie Eads  
Interim Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, KY 40511

Dear Jamie,

Enclosed please find a schedule of proposed backside improvements requested by Keeneland pursuant to your administration of the Backside Improvement Fund (KRS 230.218). All of these expenditures are to improve conditions of the backside for Keeneland Association. We have supplied photographs and copies of itemized estimates.

Keeneland currently has \$306,912.72 in available funds contributed to the Backside Improvement Fund. We would like to request pre-approval for \$127,565.30 for the projects enclosed, and be allowed to have some discretion with when they are completed and the amount allocated to each project. When we seek reimbursement upon completion, we will provide the Commission with written documentation, final photographs, and copies of all final invoices on completed projects. This process will allow us to utilize the funds in the most economical and beneficial manner for enhancing our backside.

Thank you for your consideration, and please let me know if you have any questions regarding our request.

Sincerely,

Gatewood Bell  
Vice President of Racing

Enclosures

# KEENELAND

## 2022 Bill Backside Projects - Proposed

12/12/2022

Available Funds for this period

**\$ 306,912.72**

- 1 Stall jam fabrication
- 2 Stall jam mounting hardware

	Cost per Unit	Qty.	Proposed	Actual	Variance
Fabricate (640) 96" door channels and (320) 60" door channels	\$ 127.08	960	\$ 121,995.00		\$ 121,995.00
Routing Eyebolt w/ Nut	\$ 5.82	320	\$ 1,862.40		\$ 1,862.40
Square-neck Carriage Bolt 7"	\$ 14.05	30	\$ 421.50		\$ 421.50
Square-neck Carriage Bolt 8"	\$ 17.04	40	\$ 681.60		\$ 681.60
Zinc-plated Square-neck Carriage Bolt 7"	\$ 8.34	50	\$ 417.00		\$ 417.00
Zinc-plated Square-neck Carriage Bolt 8"	\$ 9.39	72	\$ 676.08		\$ 676.08
Steel Hex Weld Nut	\$ 21.63	39	\$ 843.57		\$ 843.57
Shipping	\$ 352.85	1	\$ 352.85		\$ 352.85
Tax	\$ 315.30	1	\$ 315.30		\$ 315.30
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -
			\$ -		\$ -

<b>Total Requested</b>			<b>\$ 127,565.30</b>	<b>\$ -</b>	<b>\$ (127,565.30)</b>
<b>Available Funds remaining for this period</b>			<b>\$ 179,347.42</b>	<b>\$ 306,912.72</b>	

Stall jam fabrication

①  
Quote

**Poynter**

775 Commerce Parkway West Drive, Greenwood, IN 46143

Phone: 317-893-1193

Quotation To: Keenland  
ATTN: Marsh Woodrow  
Project: Door Frames  
Location:  
Bid Date: 5.27.22

Quote #: 22-0461REV1

Addenda Rec'd:

**Description**

Fabricate and deliver. Quantity (640) 96" door channels and (320) 60" door channels. All channels will be 5" x 5 3/4" x 5". All material will be 3/16" thick (7 Gauge). There is a 4 week lead time on material.

Total cost for A1011 steel: \$96,235

Total cost for Galvanized: \$121,995

**Exclusions**

Sales Tax  
Design and calculations  
Overtime  
Shift  
Anything not included in our scope

Total Price: Listed Above

Lead Time: 6 weeks

Submitted by: *Reed Corbin*







This quotation is valid for 15 days from the date thereof, but may be accepted at a later date at the sole discretion of Poynter Sheet Metal. This order is hereby acknowledged and accepted, subject to the term scope description listed above. Due to the current raw material shortage in the US, the lead times and price may fluctuate. Per company requirements please date, sign, affix a PO# and return or email to reedcorbin@poyntersheetmetal.com. Poynter Sheet Metal will not proceed with work unless written authorization is received.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ PO# \_\_\_\_\_



# Steel Jam Mounting Hardware

Quote <sup>(2)</sup>

1		Roisting Eyebolt with Nut - Not for Lifting Zinc-Plated with Post-Closed Eye, 3/16" - 16 Thread, 1" Shank 9489126	320 Packs of 10 each Order quantity total 3200	\$5.82 Pack	\$1,862.40	X	
2		Square-Neck Carriage Bolt Low-Strength Galvanized Steel, 3/8"-16 Thread, 7" Long 93304A897	1 30 Packs of 10 each Order quantity total 300	\$14.05 Pack	\$421.50	X	
3		Square-Neck Carriage Bolt Low-Strength Galvanized Steel, 3/8"-16 Thread, 8" Long 93304A899	49 Packs of 10 each Order quantity total 490	\$17.04 Pack	\$831.60	X	
4		Zinc Plated Grade 2 Steel Square-Neck Carriage Bolt Low-Strength, 3/8"-16 Thread Size, 7" Long 93348A898	50 Packs of 10 each Order quantity total 500	\$8.34 Pack	\$417.00	X	
5		Zinc-Plated Grade 2 Steel Square-Neck Carriage Bolt Low-Strength, 3/8"-16 Thread Size, 8" Long 93348A892	72 Packs of 10 each Order quantity total 720	\$9.29 Pack	\$668.68	X	
6		Steel Hex Weld Nut 3/16" - 16 Thread Size 93550A190	38 Packs of 50 each	\$21.61 Pack	\$820.57	X	

Shipping 302.85  
Tax 315.00  
Total **\$6,570.00**

**PLACE ORDER**

Tax:  
Taxable

Delivery method  
Ground  
Monday via freight  
1392 85

Delivery address  
Kiewit and Association, Inc.  
4201 Versailles Rd  
Outside Maintenance  
LEXINGTON KY 40510

Delivery attention : Marsh Woodson

Shipping and delivery notifications  
mott@kiewitand.com

Billing address  
Kiewit and Association, Inc.  
4201 Versailles Rd  
Outside Maintenance  
LEXINGTON KY 40510

Billing attention : Frank Mott

Payment method  
Invoice



**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



**Ray A. Perry**  
SECRETARY

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
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**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 09, 2023

RE: **CHURCHILL DOWNS RACETRACK, LLC'S APPLICATION FOR MONIES FROM THE BACKSIDE IMPROVEMENT FUND**

---

On February 8, 2023, the Kentucky Horse Racing Commission (KHRC) received an application for monies from the Backside Improvement Fund from Churchill Downs Racetrack, LLC (Churchill Downs). Churchill Downs has applied for the following:

Total for Full Scope of Work: \$127,490

1. \$52,545 – Barn 1 roof replacement at Churchill Downs' Backside
2. \$10,960 – Roofing repairs to barns at Churchill Downs' Backside
3. \$39,175 – Asphalt Repairs:
  - a. \$33,875 – Churchill Downs' Backside
  - b. \$5,300 – Churchill Downs' Trackside
4. \$24,810 – Tack, Dorm, and Barn room heating/air units at Churchill Downs' Backside

KHRC staff confirms the project meets the statutory requirements of KRS 230.3615, 810 KAR 7:010 and the funding is available. KHRC staff will inspect the installation once the project is complete. All invoices, photographs, and communication will remain on file for Commission review. The KTA/KTOB along with the KYHBPA have also reviewed, giving their approval.

Staff Recommends Approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer



February 8, 2023

Ms. Jamie Eads  
Interim Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Parkway, Building B  
Lexington, KY 40511  
[jamie.eads@khrc.ky.gov](mailto:jamie.eads@khrc.ky.gov)  
(859) 246-2040

Re: **Application for Approval of Backside Improvement Fund Projects**

Dear Ms. Eads,

Churchill Downs Racetrack (CDRT) is requesting to use Backside Improvement Funds for the roof replacement at Barn 1 and other barn roof repairs at CDRT Backside, new heating/air units in dorm, tack, & barn rooms at CDRT Backside, and asphalt repairs at both CDRT Backside and Trackside. The estimated cost of the improvements is \$127,490. A breakdown of costs are as follows:

- \$52,545- Barn 1 roof replacement at CDRT Backside
- \$10,960- Roofing repairs to barns at CDRT Backside
- \$39,175- Asphalt repairs
  - \$33,875-CDRT Backside
  - \$5,300- CDRT Trackside
- \$24,810- Tack, Dorm, & Barn room heating/air units at CDRT Backside

Defined project scope, parts list, and cost provided by ICRC Contracting, Lowe's Home Improvement, and LIBS Paving Co. are attached.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing Commission for reimbursement after the work has been completed.

Regards,

A handwritten signature in black ink that reads "Mike Ziegler".

Mike Ziegler  
General Manager & Senior Vice President  
Churchill Downs Racetrack  
700 Central Ave.  
Louisville, KY 40208  
Office: (502) 394-1137

Enclosure: Project Estimates



ICRC Contracting  
 310 W Oak St.  
 Louisville, KY 40203

2/7/2023

**Customer:**  
 Churchill Downs  
 700 Central Ave.  
 Louisville, KY 40208

**ESTIMATE:**

Churchill Downs (complete roof replacement of barn #1, and repair of backside barns)

Tear off, remove, and dispose of all existing roofing materials all the way to wood deckings on barn #1	\$52,545.00
Install OSB sheathing on top of current deckings	
Lay synthetic felt underlayment on top of OSB	
Install Chateau Green <b>Owens Corning</b> dimensional <b>Duration</b> shingles with a lifetime and 130mph wind resistance manufacturer's limited warranty	
Materials, labor, waste disposal, cleanup, and all applicable taxes included	

Replace all missing and creased shingles and repair nail pops on every affected building of the backside barns of Churchill Downs	\$10,960.00
Materials, labor, waste disposal, cleanup, and all applicable taxes included	

**TOTAL:     \$63,505.00**



6931 Atkins Road, Floyds Knobs, Indiana  
Office: 812-944-8942

2/2/2023

Jamie Richardson  
(502) 468-0805  
Churchill Downs 600 N. Hurstbourne Pkwy #400 Louisville  
KY 40222 United States

QT-10211

Project Info:  
CHURCHILL  
Stable Patching 2023  
700 Central Ave, Louisville KY

Rep: Griffin Libs

*We are happy to present to you the following proposal for work to be performed.  
If you have any questions, please do not hesitate to contact us. Thank you!*

**Asphalt Milling Repairs**

1. The area(s) under consideration comprises approx. 2,800 square feet located in 28 area(s).
2. Please review attached map.
3. Our firm will mill / edge key your pavement to the approx. depth of 2 inches.
4. All repairs will be shaped symmetrically where possible.
5. Millings and debris will be removed from job site and hauled to proper recycling facility. Work area will then be swept and cleaned.
6. Then we will install 2 inches of compacted thickness surface asphalt.

Total price for this service: \$8,850.00

**Remove 4 speedbumps on outer road, replace with 4 speed humps**

1. Remove existing speedbump.
2. Edge key as necessary to anchor in new speed hump. Haul away spoils.
3. Speed humps to be 12' wide, 3" tall in center, match existing length of speedbumps.
4. Speedhumps will be painted solid yellow as existing.

Total Price for this Service: \$6,050.00

**Price Breakdown: CHURCHILL**



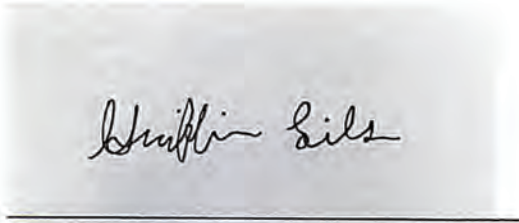
Please find the following breakdown of all services we have provided in this proposal. This proposal originated on 2/2/2023. Quote Number: QT-10211

Item	Description	
1	Asphalt Milling Repairs	\$8,850.00
2	Remove 4 speedbumps on outer road, replace with 4 speed humps	\$6,050.00
3	Optional: Remove remaining 12 speedbumps, replace with 12 speed humps	\$18,975.00
<b>Total:</b>		<b>\$33,875.00</b>

**Authorization to Proceed & Contract**

1. By Signing I agree to all contract terms & conditions and scope of work outlined in this proposal.
2. I understand that if any additional work is required different than stated in this proposal/contract there will be additional charges.

Date: \_\_\_\_\_



\_\_\_\_\_  
Jamie Richardson  
CHURCHILL  
Churchill Downs 600 N. Hurstbourne Pkwy #400  
Louisville KY 40222 United States  
james.richardson@kyderby.com  
C: (502) 468-0805

\_\_\_\_\_  
Griffin Libs  
griffin@libspaving.com  
C: (812) 786-6464  
Libs Paving Company, Inc.  
6931 Atkins Road  
Floyds Knobs, IN 47119  
P: 812-944-8942  
F: 812-949-2806  
<http://www.libspaving.com>

Optional: Remove remaining 12 speedbumps, replace with 12 speed humps

Total Price for this Service: \$18,975.00

## Contract Payment Terms and Conditions

1. **Warranty:** We guarantee all work against defects in materials and workmanship for a period of (1) year from date of installation. We do not guarantee against puddling where existing slope is less than 2%. We do not guarantee against reflective cracking and dry weather cracking.
2. **Exclusions:** Survey layout, traffic loops, permits, fees, testing, underground locates of utilities on private property, adjustment or relocation of existing utilities, scale or predetermined wage.
3. **\*Price based on current price of liquid asphalt. If price of liquid asphalt changes, we reserve the right to change our price accordingly.**
4. The following terms and conditions are between Libs Paving Company, Inc. (aka the contractor) and the person, company, or agent who executes the proposal (aka the customer).
5. The customer agrees to pay the contractor within the specified time period designated in the proposal. Any amounts past due, after 30 days from the invoice date, are subject to a 1.5% per month late charge. By signing this contract, the customer acknowledges this charge may be incurred. If full payment, including late charge, have not been received within 60 days, the account will be turned over to a collection agency. At this point, the customer agrees to pay for all collection fees (attorney costs, court costs, any other collection cost incurred by the contractor).
6. The contractor will provide price protection, for this proposal, for 30 days from the date on the proposal.
7. The contractor will typically arrive to the project site no later than 7:00 am, unless otherwise discussed with customer. It is the responsibility of the customer to notify tenants, postal service, garbage service, landscapers, etc. of the proposed work dates and times. The contractor recommends that a towing service be on-site, or on stand-by, to relocate any vehicles in the work area at start time. Should the contractor be delayed more than 1 hour, additional fees may be incurred for down time. The customer is required to contact all service providers in the event that the work is re-scheduled.
8. **Sprinklers** should be off 24 hours prior and 24 hours after service. Avoid lawn cutting during this same period of time.
9. While performing the contracted work, if the contractor encounters "soft" sub base material, the contractor will notify the customer of the issue encountered and provide an estimated cost of repairs. If the customer declines the corrective work and directs the contractor to proceed with original scope of work, the contractor shall not be held responsible for the structural integrity of that area and the warranty will be voided.
10. If unsuitable or hazardous materials are encountered and deemed by contractor undeliverable to contracted waste facility, the materials will be transported to the proper waste facility. The customer agrees to pay for any additional dump fee and trucking costs.
11. When paving handicap parking areas and ramps, the contractor will pave per existing elevations and conditions, unless otherwise specified in the proposal.
12. The contractor will not be responsible for any standing water in areas with fixed structures, or where the existing slope is less than 2%.
13. Libs Paving will only replace existing speed bumps, or install new speed bumps if specified in the proposal.
14. The contractor will not be responsible for any damages to new asphalt, concrete, or sealcoating which may be caused by footprints, graffiti, tire tracks, or loads in excess of design capacity.
15. The contractor will not be responsible for any delays in construction due to weather, natural disasters, delays in transportation, fuel or raw material shortage, plant failures, or any other circumstance that is out of our control.
16. Work specified in the proposal requires the use of heavy trucks and equipment. The contractor will not be held responsible for repair or replacement of existing structures or utilities damaged by heavy equipment necessary to complete the job.
17. When driving on your newly paved or sealed surface, the contractor encourages the customer to keep their vehicle(s) in motion when turning their wheels. "Scuffing" and "turn marks" are not considered warranty work. These marks will blend in time with the rest of the surface.
18. When re-painting existing parking bumpers or concrete curbing, we recommend hydro / sand blasting prior to re-painting. This is the only way to ensure proper bonding and to prevent "flaking".
19. The contractor will re-stripe parking lots per existing layout unless specified in the proposal.
20. Where applicable - "Alligator" or "spider web" areas or areas of failed asphalt will not receive crack filler.



6931 Atkins Road, Floyds Knobs, Indiana  
Office: 812-944-8942

2/2/2023

Jamie Richardson  
(502) 468-0805  
Churchill Downs 600 N. Hurstbourne Pkwy #400 Louisville  
KY 40222 United States

QT-10212

**Project Info:**  
CHURCHILL  
Trackside Patching 2023  
4520 Poplar Level Rd, Louisville, KY

Rep: Griffin Libs

*We are happy to present to you the following proposal for work to be performed.  
If you have any questions, please do not hesitate to contact us. Thank you!*

#### Asphalt Milling Repairs

1. The area(s) under consideration comprises approx. 1,850 square feet located in 24 area(s).
2. Please review attached map.
3. Our firm will mill / edge key your pavement to the approx. depth of 2 inches.
4. All repairs will be shaped symmetrically where possible.
5. Millings and debris will be removed from job site and hauled to proper recycling facility. Work area will then be swept and cleaned.
6. Then we will install 2 inches of compacted thickness surface asphalt.

Total price for this service: \$5,300.00





## Contract Payment Terms and Conditions

1. **Warranty:** We guarantee all work against defects in materials and workmanship for a period of (1) year from date of installation. We do not guarantee against puddling where existing slope is less than 2%. We do not guarantee against reflective cracking and dry weather cracking.
2. **Exclusions:** Survey layout, traffic loops, permits, fees, testing, underground locates of utilities on private property, adjustment or relocation of existing utilities, scale or predetermined wage.
3. **\*Price based on current price of liquid asphalt. If price of liquid asphalt changes, we reserve the right to change our price accordingly.**
4. The following terms and conditions are between Libs Paving Company, Inc. (aka the contractor) and the person, company, or agent who executes the proposal (aka the customer).
5. The customer agrees to pay the contractor within the specified time period designated in the proposal. Any amounts past due, after 30 days from the invoice date, are subject to a 1.5% per month late charge. By signing this contract, the customer acknowledges this charge may be incurred. If full payment, including late charge, have not been received within 60 days, the account will be turned over to a collection agency. At this point, the customer agrees to pay for all collection fees (attorney costs, court costs, any other collection cost incurred by the contractor).
6. The contractor will provide price protection, for this proposal, for 30 days from the date on the proposal.
7. The contractor will typically arrive to the project site no later than 7:00 am, unless otherwise discussed with customer. It is the responsibility of the customer to notify tenants, postal service, garbage service, landscapers, etc. of the proposed work dates and times. The contractor recommends that a towing service be on-site, or on stand-by, to relocate any vehicles in the work area at start time. Should the contractor be delayed more than 1 hour, additional fees may be incurred for down time. The customer is required to contact all service providers in the event that the work is re-scheduled.
8. **Sprinklers** should be off 24 hours prior and 24 hours after service. Avoid lawn cutting during this same period of time.
9. While performing the contracted work, if the contractor encounters "soft" sub base material, the contractor will notify the customer of the issue encountered and provide an estimated cost of repairs. If the customer declines the corrective work and directs the contractor to proceed with original scope of work, the contractor shall not be held responsible for the structural integrity of that area and the warranty will be voided.
10. If unsuitable or hazardous materials are encountered and deemed by contractor undeliverable to contracted waste facility, the materials will be transported to the proper waste facility. The customer agrees to pay for any additional dump fee and trucking costs.
11. When paving handicap parking areas and ramps, the contractor will pave per existing elevations and conditions, unless otherwise specified in the proposal.
12. The contractor will not be responsible for any standing water in areas with fixed structures, or where the existing slope is less than 2%.
13. Libs Paving will only replace existing speed bumps, or install new speed bumps if specified in the proposal.
14. The contractor will not be responsible for any damages to new asphalt, concrete, or sealcoating which may be caused by footprints, graffiti, tire tracks, or loads in excess of design capacity.
15. The contractor will not be responsible for any delays in construction due to weather, natural disasters, delays in transportation, fuel or raw material shortage, plant failures, or any other circumstance that is out of our control.
16. Work specified in the proposal requires the use of heavy trucks and equipment. The contractor will not be held responsible for repair or replacement of existing structures or utilities damaged by heavy equipment necessary to complete the job.
17. When driving on your newly paved or sealed surface, the contractor encourages the customer to keep their vehicle(s) in motion when turning their wheels. "Scuffing" and "turn marks" are not considered warranty work. These marks will blend in time with the rest of the surface.
18. When re-painting existing parking bumpers or concrete curbing, we recommend hydro / sand blasting prior to re-painting. This is the only way to ensure proper bonding and to prevent "flaking".
19. The contractor will re-stripe parking lots per existing layout unless specified in the proposal.
20. Where applicable - "Alligator" or "spider web" areas or areas of failed asphalt will not receive crack filler.

**Price Breakdown: CHURCHILL**



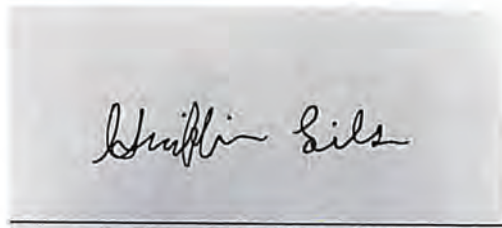
Please find the following breakdown of all services we have provided in this proposal. This proposal originated on 2/2/2023. Quote Number: QT-10212

Item	Description	
1	Asphalt Milling Repairs	\$5,300.00
<b>Total:</b>		<b>\$5,300.00</b>

**Authorization to Proceed & Contract**

- 1. By Signing I agree to all contract terms & conditions and scope of work outlined in this proposal.
- 2. I understand that if any additional work is required different than stated in this proposal/contract there will be additional charges.

Date: \_\_\_\_\_



\_\_\_\_\_  
Jamie Richardson  
CHURCHILL  
Churchill Downs 600 N. Hurstbourne Pkwy #400  
Louisville KY 40222 United States  
james.richardson@kyderby.com  
C: (502) 468-0805

\_\_\_\_\_  
Griffin Libs  
griffin@libspaving.com  
C: (812) 786-6464  
Libs Paving Company, Inc.  
6931 Atkins Road  
Floyds Knobs, IN 47119  
P: 812-944-8942  
F: 812-949-2806  
<http://www.libspaving.com>

## Churchill Downs

### Backside

1. Window A/C with heater unit for dorm.  
Order 10 x \$599.00 = \$5990.00
2. 11000 BTU wall heater for tack rooms  
Order 10 x \$430.46 = \$4304.60
3. 25000BTU wall heater for tack rooms  
Order 15 X \$768.08 = \$11521.20
4. 40000BTU water heater for barns  
Order 5 x \$599.00 = \$2995.00

Total                      \$24810.80

Search

Shelbyville Lowes Open until 9 PM

Delivery to 40203



Prices, Promotions, styles, and availability may vary. Our local stores do not honor online pricing. Prices and availability of products and services are subject to change without notice. Errors will be corrected where discovered, and Lowe's reserves the right to revoke any stated offer and to correct any errors, inaccuracies or omissions including after an order has been submitted.

Back to Results / Heating & Cooling / Air Conditioners & Fans / Room Air Conditioners / Window Air Conditioners

### Koldfront 550 Sq. Window Air Conditioner with Heater (230-Volt; 12000-BTU)

Item #1619192 Model #WAC12001V  
★★★★★ 73



\$599.00

\$569.05 when you choose 5% savings on eligible purchases every day. [Learn how](#)

\$100/mo suggested payments with 6 month special financing. [Learn how](#)

Window air conditioner with supplemental heater  
3 Fan speeds with dehumidifying function  
Features including 24-hour sleep timer, sleep feature, energy saver feature, dry mode, fan only mode

Cooling BTU Rating (BTU) 12000

Maximum Coverage Area (Sq. Feet) 550

#### What We Offer

Protection From \$109.99

[Learn more about Protection](#)

-  +

**Easy & Free Returns**  
Return your new, unused item in-store or ship it back to us free of charge. [Learn More](#)

**Free Store & Curbside Pickup**  
Get it by Mon, Feb 6 (Est.) at Shelbyville Lowes

**Free Delivery to 40203**  
Scheduling Available  
Get it by Mon, Feb 6

### BETTER TOGETHER

<input type="checkbox"/> <b>COMPARE ITEM</b>	<input checked="" type="checkbox"/> <b>Selected</b>	<input checked="" type="checkbox"/> <b>Selected</b>	<input checked="" type="checkbox"/> <b>Selected</b>
	+		+
	+		
<b>Koldfront 550 Sq. Window Air Conditioner with Heater (230-Volt; 12000-BTU)</b> \$599.00		<b>Bergen Industries 6 ft 3-Wire Oven Range Replacement Power Cord Gray</b> \$40.00	
		<b>Freonic 10000-BTU DOE (14500-BTU ASHRAE) 115-Volt White Vented Portable Air Conditioner</b> \$589.99	
			<b>Mulberry 1-Gang Midsize Blank Wall Plate, White</b> \$1.20

Subtotal for (4) items  
**\$1,230.23**

#### Overview

Koldfront window air conditioners allow you to stay comfortable throughout the year with cool only or models with supplemental heat available. Ideal for living rooms, bedrooms and offices, the units convenient features can be tailored to your individual cooling needs. The included window kit makes installation into a variety of applications easy. With models ranging from 6000 to 25000 BTU capacity, Koldfront has a model for your application.

Search

Shelbyville Lowe's Open until 9 PM

Delivery to 40203



Prices, Promotions, styles, and availability may vary. Our local stores do not honor online pricing. Prices and availability of products and services are subject to change without notice. Errors will be corrected where discovered, and Lowe's reserves the right to revoke any stated offer and to correct any errors, inaccuracies or omissions including after an order has been submitted.

< Back to Results / Heating & Cooling / Portable & Space Heaters / Gas Heaters / Gas Space Heaters

### Ashley Hearth Products 11000-BTU Wall-Mount Indoor Natural Gas Convection Heater

Item #1971009 Model #OVAG11N

☆☆☆☆☆

- 
- 
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- 
- 
- 
- 
- 



**\$430.46** | ~~\$529.99~~  
Save \$99.53

\$408.94 when you choose 5% savings on eligible purchases every day. [Learn how](#)

OR \$12/mo suggested payments with 6 month special financing. [Learn how](#)

No complicated venting to purchase or install, complete kit is included (includes heat shield, vent pipe, air intake pipe, flashing, cap and connect...  
Matchless piezo lighting for quick starts  
Sealed burn system is perfect for bedrooms and living spaces

#### What We Offer

Protection Plan  
From \$59.97

[Learn more about Protection](#)

-  +

**Easy & Free Returns**  
Return your new, unused item in-store or ship it back to us free of charge. [Learn More](#)

**Free Store & Curbside Pickup**  
Get it by Tue, Feb 7 (Est.) at Shelbyville Lowe's

**Free Delivery to 40203**

### BETTER TOGETHER

<input type="checkbox"/>	<input type="checkbox"/> Selected	<input type="checkbox"/> Selected	<input type="checkbox"/> Selected
<b>Ashley Hearth Products 11000-BTU Wall-Mount Indoor Natural Gas Convection Heater</b>	<b>Hitchfire Steel Propane Tank</b>	<b>Selkirk 1-1/2 To 3-1/2 in. Compression Inlet x 3-in. Compression Outlet Aluminum</b>	<b>Blue Monster 4-lb oz. Spalard</b>
<b>\$430.46</b> <small>Save \$99.53</small>	<b>\$79.99</b>	<b>\$59.95</b>	<b>\$8.14</b>

Subtotal **\$578.51**  
~~\$678.14~~ Save \$99.53

#### Overview

The direct vent wall heater from Ashley Hearth Products makes the perfect solution for zoned heating. The space saving direct vent furnace design mounts to an exterior wall and the adjustable vent assembly adjusts to fit walls from 4-1/2 inches to 10 inches thick. For clean, comfortable heat when and where you want it, the gas flame is completely sealed inside, away from all contact with room air. Air necessary for combustion is drawn into the chamber from outside through the vent and combustion products are vented directly to the outside. Only clean, gentle heat is continuously circulated into the room. Windows and doors stay closed because no room air is used for combustion. The direct vent natural gas wall furnace is designed to heat up to 375 Sq Ft.

Search

Shelbyville Lowe's Open until 9 PM

Delivery to 40208



Prices, Promotions, styles, and availability may vary. Our best stores do not honor online pricing. Prices and availability of products and services are subject to change without notice. Errors will be corrected where discovered, and Lowe's reserves the right to revoke any stated offer and to correct any errors, inaccuracies or omissions including after an order has been submitted.

Back to Results / Heating & Cooling / Portable & Space Heaters / Gas Heaters / Gas Space Heaters

### Ashley Hearth Products 25000-BTU Wall-Mount Indoor Natural Gas Convection Heater

Item #1971013 Model #DVAG30N

★★★★★



\$768.08 | ~~\$923.58~~  
Save \$155.50

\$729.08 when you choose 5% savings on eligible purchases every day. [Learn how](#) OR \$128/mo suggested payments with 6 month special financing. [Learn how](#)

No complicated venting to purchase or install, complete kit is included (includes heat shield, vent pipe, air intake pipe, flashing, cap and connect...  
Matchless pizza lighting for quick starts  
Sealed burn system is perfect for bedrooms and living spaces

#### What We Offer

Protection From \$109.97

[Learn more about Protection](#)

-  +

Easy & Free Returns  
Return your new, unused item in-store or ship it back to us free of charge. [Learn More](#)

Free Store & Curbside Pickup  
Get it by Tue, Feb 7 (Est.) at Shelbyville Lowe's

Free Delivery to 40208

### BETTER TOGETHER

<input type="checkbox"/> CURRENT ITEM	<input checked="" type="checkbox"/> Selected	<input checked="" type="checkbox"/> Selected	<input checked="" type="checkbox"/> Selected
Ashley Hearth Products 25000-BTU Wall-Mount Indoor Natural Gas Convection Heater \$768.08 <small>Save \$155.50</small>	HouseWarmer Gravity Wall Furnace White Powder Coat On Textured Steel Steel Wall- \$302.11	Thor Kitchen Brass Natural Gas Hose \$119.99	Certified Appliance Accessories 2-Pack, 6-ft 3/4-in Figh Inlet x 3/4-in Figh Outlet Braided Stainless \$21.99

Subtotal for (4) items  
**\$1,211.50**

#### Overview

The DVAG30N direct vent wall heater from Ashley Hearth Products makes the perfect solution for zoned heating. The space saving direct vent heater design mounts to an exterior wall and the adjustable vent assembly adjusts to fit walls from 4-1/2 inches to 10 inches thick. For clean, comfortable heat when and where you want it, the gas flame is completely sealed inside, away from all contact with room air. Air necessary for combustion is drawn into the chamber from outside through the vent and combustion products are vented directly to the outside. Only clean, gentle heat, in continuous circulation is delivered into the room. Windows and doors stay closed because no room air is used for combustion. The DVAG30N direct vent natural gas wall furnace is designed to heat up to 325 Sq Ft and has a 25,000 BTU input.

Search

Shelbyville Lowe's Open until 9 PM

Delivery to 40208



Prices, Promotions, styles, and availability may vary. Our best stores do not honor online pricing. Prices and availability of products and services are subject to change without notice. Errors will be corrected where discovered, and Lowe's reserves the right to revoke any stated offer and to correct any errors, inaccuracies or omissions including after an order has been submitted.

< Back to Results / Plumbing / Water Heaters / Gas Water Heaters

### A.O. Smith Signature 100 40-Gallon Tall 9-year Limited 40000-BTU Natural Gas Water Heater

Item #092536 Model #G3-T4040NVR

Shop A.O. Smith ☆☆☆☆ 604



\$599.00

**Bulk Savings**  
\$563.05 / Bundle (6% off) when you buy 3+

\$599.05 when you choose 5% savings on eligible purchases every day. [Learn how](#) **OR** \$100/mo suggested payments with 6 month special financing. [Learn how](#)

Ideal hot water delivery for households with 3-4 people when sized appropriately. Environmentally friendly 40,000 BTU Low NOx gas burner delivers 90 gallons in the first hour. Electronic gas valve provides precise temperature control for optimum hot water delivery.

Rated Capacity (Gallons)  
40

#### What We Offer

Protection From \$22.97

[Learn more about Protection.](#)

Hurry, Low in Stock

Quantity: 1  Add to Cart  
Get It Installed

In use/lifestyle images - accessories not included

**Easy & Free Returns**  
Return your new, unused item in-store or ship it back to us free of charge. [Learn More](#)

**Free Store & Curbside Pickup**  
Ready within 3 hours | 2 in Stock at Shelbyville Lowe's. Also BACK WALL | Bay 30

**Delivery to 40208**  
Scheduling Available  
Get it by Fri, Feb 3

### BETTER TOGETHER

<input type="checkbox"/> CURRENT ITEM	<input type="checkbox"/> Selected	<input type="checkbox"/> Selected	<input type="checkbox"/> Selected
A.O. Smith Signature 100 40-Gallon Tall 9-year Limited 40000-BTU Natural Gas Water Heater \$599.00	EASTMAN 22-in x 22-in Aluminum Water Heater Drain Pan with Fitting \$18.99	Dewey 0.5-in x 21-in Plumber's Tape \$4.45	BrassCraft 24-in 1/2-in Pipe Thread Inlet x 1/2-in Pipe Thread Outlet Stainless Steel Gas \$24.99

Subtotal for (4) items  
**\$647.56**

Add to cart (4) items

Overview



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 09, 2023

RE: **KENTUCKY DOWNS, LLC’S REQUEST TO TRANSFER KENTUCKY THOROUGHBRED  
DEVELOPMENT FUND MONIES TO KEENELAND ASSOCIATION, INC.**

---

On January 31, 2023, the Kentucky Thoroughbred Development Fund (KTDF) Advisory Committee reviewed Kentucky Downs, LLC’s (Kentucky Downs) request to transfer \$1 million of its KTDF earnings to the credit of Keeneland Association, Inc (Keeneland). The KTDF Advisory Committee supports this request to bolster Keeneland’s 2023 Spring Race Meet which will further enhance the overall thoroughbred racing circuit in the Commonwealth of Kentucky.

The KTDF Advisory Committee, Kentucky Horsemen's Benevolent and Protective Association, and Kentucky Thoroughbred Association support this request and recommend approval.

KHRC staff recommends approval.

**COMMISSION ACTION**

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny





Kentucky Thoroughbred Development Fund  
4079 Ironworks Pkwy  
Lexington, KY 40511

January 26, 2023

Dear KTDF Chairman Landes,

In an effort to further enhance Kentucky racing, Kentucky Downs would like to ask the KTDF's approval to send Keeneland Race Course \$1 million of KTDF funds for their upcoming Spring meet.

We appreciate your review of this proposal and urge your approval of this plan.

Sincerely,

Ted Nicholson  
Vice President of Racing

kentuckydowns.com - 5629 Nashville Rd - Franklin, KY 42134 - (270) 586-7778

**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



**Ray A. Perry**  
SECRETARY

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
CHAIRMAN

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 09, 2023  
  
RE: **KEENELAND ASSOCIATION, INC.'S REQUEST TO APPROVE ITS 2023 SPRING RACE MEET PURSE ALLOTMENT**

---

On January 31, 2023, the Kentucky Thoroughbred Development Fund (KTDF) Advisory Committee reviewed Keeneland Association, Inc.'s (Keeneland) request to approve its KTDF purse structure as submitted for its 2023 Spring Race Meet. Keeneland's 2023 Spring Race Meet will consist of fifteen (15) race dates beginning on Friday, April 7, 2023, and ending on Friday, April 28, 2023.

Keeneland's purse structure proposes KTDF purses in the range of \$4.7-\$4.9 million. These projections are based on Keeneland's KTDF balance of \$1 million at the end of its 2022 Fall Race Meet, a projected \$1 million credit subject to the Kentucky Horse Racing Commission's (KHRC) approval of Kentucky Downs' transfer request, and projected KTDF earnings of \$2.9 million through April 28, 2023, from projected pari-mutuel revenues. Keeneland will pay KTDF purses through the last place, KTDF-eligible finisher in each race referenced to encourage participation in its 2023 Spring Race Meet.

The KTDF Advisory Committee supports this request to bolster Keeneland's 2023 Spring Race Meet which will further enhance the overall thoroughbred racing circuit in the Commonwealth of Kentucky.

The KTDF Advisory Committee and KHRC staff recommend approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer  
\_\_\_\_\_ Deny



Keeneland Association, Inc.  
4201 Versailles Road  
P.O. Box 1690  
Lexington, KY 40588-1690  
859 254-3412 Tel.  
800 456 3412  
859 288-4348 Fax  
www.keeneland.com

January 25, 2023

KTDF Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Lexington, KY 40511

Dear Committee Members:

Keeneland has reviewed our KTDF data and would like to offer approximately \$4.7 million – \$4.9 million in KTDF money for our upcoming Spring meet.

1. We are projecting approximate daily earnings of \$15,892 per day, an increase over Spring 2022;
2. We have a surplus of \$1,019,664 following the Fall 2022 race meeting;
3. We are assuming a payout percentage of approximately 88% of the amount carded;
4. We are projecting total KRM and OG earnings of approximately \$2,952,000 plus a KTDF transfer of \$1,000,000;
5. We anticipate that KTDF purses will comprise approximately 25.8% of total purses.
  - a. MSW, ALW, AOC: \$2,787,000 (57.7% of the KTDF allotment)
  - b. CL, MCL, SA: \$538,600 (11.2% of the KTDF allotment)
  - c. Stakes: \$1,500,000 (31.1% of the KTDF allotment)

We remain committed to offering competitive purses while also prudently allocating our KTDF funds available. To that end we will increase our KTDF purse amounts and add KTDF funds to claiming, maiden claiming, and starter allowance races for Spring 2023 with the goal of gradually reducing our KTDF surplus over several meets and finding the right balance for our purse levels.

Keeneland will continue to pay out KTDF funds through last place. A table containing the payout percentage by field size is attached. In the event there is a dead heat for finish positions 1 thru 5, the KTDF percentage payout for each position will be aggregated and paid equally to each horse included in the dead heat. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

We will evaluate the earnings and payout percentages several days into our race meet and may need to make adjustments if our actual earnings and payout percentages are significantly different from our assumptions.

Please contact me if you have any questions or need additional information.

Sincerely,

Gatewood Bell  
Vice President of Racing

Finish Position	# of Starters													
	1	2	3	4	5	6	7	8	9	10	11	12	13	14
1st	62.00%	62.00%	62.00%	62.00%	62.00%	61.2250%	60.4500%	59.6750%	58.9000%	58.1250%	57.3500%	56.5750%	55.8000%	55.0250%
2nd		20.00%	20.00%	20.00%	20.00%	19.7500%	19.5000%	19.2500%	19.0000%	18.7500%	18.5000%	18.2500%	18.0000%	17.7500%
3rd			10.00%	10.00%	10.00%	9.8750%	9.7500%	9.6250%	9.5000%	9.3750%	9.2500%	9.1250%	9.0000%	8.8750%
4th				5.00%	5.00%	4.9375%	4.8750%	4.8125%	4.7500%	4.6875%	4.6250%	4.5625%	4.5000%	4.4375%
5th					3.00%	2.9625%	2.9250%	2.8875%	2.8500%	2.8125%	2.7750%	2.7375%	2.7000%	2.6625%
6th						1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
7th							1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
8th								1.25%	1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
9th									1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
10th										1.25%	1.25%	1.25%	1.25%	1.25%
11th											1.25%	1.25%	1.25%	1.25%
12th												1.25%	1.25%	1.25%
13th													1.25%	1.25%
14th														1.25%
Total	62%	82%	92%	97%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Distribution %s  
1st 62.00%  
2nd 20.00%  
3rd 10.00% \*\* after 6th thru last is paid the  
4th 5.00% remainder of purse is distributed  
5th 3.00% accordingly to 1st thru 5th  
6th-14th 1.25% each



**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**

**Kentucky Horse Racing Commission**

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Ray A. Perry**  
SECRETARY

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 09, 2023  
  
RE: **CHURCHILL DOWNS RACETRACK, LLC’S REQUEST TO APPROVE 2023 SPRING RACE MEET PURSE ALLOTMENT**

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On January 31, 2023, the Kentucky Thoroughbred Development Fund (KTDF) Advisory Committee reviewed Churchill Downs Racetrack, LLC’s (Churchill Downs) request to approve its KTDF purse structure as submitted for its 2023 Spring Race Meet. Churchill Downs’ 2023 Spring Race Meet will consist of forty four (44) race dates beginning on Saturday, April 29, 2023, and ending on Monday, July 3, 2023.

Churchill Downs’ purse structure proposes KTDF purses in the range of \$9.5-\$10 million. These projections are based on projected KTDF earnings of \$8.3 million through April 29, 2023, from projected pari-mutuel revenues and additional projected KTDF earnings of \$4.7 million through July 31, 2023, from the same. Churchill Downs will pay KTDF purses through the last place, KTDF-eligible finisher in each race referenced to encourage participation in its 2023 Spring Race Meet.

The KTDF Advisory Committee supports this request to bolster Churchill Downs’ 2023 Spring Race Meet which will further enhance the overall thoroughbred racing circuit in the Commonwealth of Kentucky.

The KTDF Advisory Committee and KHRC staff recommend approval.

**COMMISSION ACTION**

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny



January 27, 2023

KTDF Advisory Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed its purses and would like to card KTDF purses in the range of \$9.5 million to \$10.0 million for our upcoming Spring Meet. We have based the range on the following information:

1. At the end of April 2023, Churchill Downs is projecting an ending KTDF earned balance of \$8.3 million.
2. Our 2023 Spring Meet will consist of 44 racing days beginning Saturday, April 29<sup>th</sup> and ending Monday, July 3<sup>rd</sup>. We are projecting additional earnings of \$4.7 million by the end of July. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") from the beginning of May 2023 through the end of the Spring Meet.
3. We anticipate that KTDF will be approximately 24% of total purses. Of the requested KTDF allotment, we anticipate the following payouts:
  - a. ALW, AOC, SMSW, MDN: approximately 61% of the KTDF allotment
  - b. BCL, CLM, MCL, STR, WMC: approximately 16% of the KTDF allotment; and
  - c. Stakes: approximately 23% of the KTDF allotment
4. For Spring 2023, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$9.8 million which is \$0.2 million higher than the \$9.6 million paid during the Spring 2022 Meet. The driver of higher purses paid is a function of two planned additional race days due to cancelation from extreme heat in 2022.
5. To encourage participation during the Spring Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman  
Vice President, Racing & Racing Secretary

Austin Schmitt  
Vice President, Finance



January 27, 2023

KTDF Advisory Committee of the Kentucky Horse Racing Commission  
 4063 Iron Works Parkway  
 Building B  
 Lexington, Kentucky 40511

Committee Members:

The following information will be included in Churchill Downs' 2023 Spring Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

**CHURCHILL DOWNS RACE TRACK  
 KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF) ALLOCATION OF FUND BASED  
 ON NUMBER OF STARTERS AND FINISH**

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

Finish	Number of Starters										
	5	6	7	8	9	10	11	12	13	14	
1st	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd											
3rd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
4th											
5th	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
6th											
7th			5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
8th				3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
9th											
10th						0.40%	0.34%	0.29%	0.25%	0.23%	
11th											
12th								0.29%	0.25%	0.23%	
13th											
14th										0.25%	0.22%
Total										0.25%	0.22%

**Example**

Date	# of	KTDF	Finish																		
			1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th								
6/25/2017	10	11	Offered																		
KTDF:				Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Eligibl																					
KTDF																					
Reverts																					





**Example: Dead Heat for 2nd between an eligible and non-eligible horse using the**

	<u>2nd</u>	<u>3rd</u>	<u>Total</u>	
KTDF:				
Payout	\$1,480.0	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and
t	KTDF	KTDF		
	<u>Payout</u>	<u>Reverts</u>		

**THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)**

Given several years of robust business during the period beginning 2019 through August 2022, the state-of-the-art historical racing machines at nearby Derby City Gaming has generated \$40.9 million in KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack. Continuing in Fall 2022, Churchill Downs Racetrack will offer KTDF money on all claiming races.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

**Mrs. Jenny McGaughey, Registrar**

Kentucky Thoroughbred Owners and Breeders  
 4079 Iron Works Parkway  
 Lexington, Kentucky 40511  
 Phone: **859-259-1643**

Registration may be completed online at [www.kta-ktob.com](http://www.kta-ktob.com). The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%..... to the winner
- 20%..... to second
- 10%..... to third
- 5%..... to fourth



# CHURCHILL DOWNS

3%..... to fifth

Remaining 2% divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely,

Austin Schmitt  
Vice President, Finance  
Churchill Downs Race  
Track 700 Central Avenue  
  
Louisville, KY 40208  
Office: 502-638-3845

Ben Huffman  
Vice President, Racing & Racing  
Secretary Churchill Downs Race  
Track  
  
700 Central Avenue  
Louisville, KY 40208



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Drew J. Conners, Director of Incentives and Development  
DATE: February 1, 2023  
  
RE: **PROPOSED AMENDMENTS TO 810 KAR 7:040**

---

On January 9, 2023, the Kentucky Standardbred Development Fund/Kentucky Standardbred Breeders Incentive Fund Advisory Panel (KSDF/KSBIF Advisory Panel) met and agreed upon the attached amendments to 810 KAR 7:040.

The main objectives of the amendments are as follows:

- Create an opportunity to participate in instances where the Mare Certificate of Eligibility Nomination was missed.
- Allow for a Yearling to late nominate within its yearling year, even if the mare was not nominated during the calendar year of the breeding season.
- Allow for a three (3) year-old to participate, even if no sustaining payments were made as a two (2) year-old. However, the proposed amendments will keep the initial March 15th two (2) year-old sustaining payment mandatory to encourage two (2) year-old participation.
- Change the declaration/starting fees to one-half of one percent (0.5%) of the total purses distributed or to be distributed for each race in which the horse is declared.

The proposed amendments are outlined in the attached documents and include forms that will be incorporated into the regulation if approved.

The KSDF/KSBIF Advisory Panel and Kentucky Horse Racing Commission staff recommend approval of the proposed amendments.

**COMMISSION ACTION**

\_\_\_\_\_ Approve  
 \_\_\_\_\_ Defer  
 \_\_\_\_\_ Deny

PUBLIC PROTECTION CABINET

KENTUCKY HORSE RACING COMMISSION

(Amendment)

810 KAR 7:040. KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND.

RELATES TO: KRS 230.215, 230.260, 230.770, 230.802

STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8), 230.770(1), (6), (7), 230.802(2)(b)

NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8) authorize the Kentucky Horse Racing Commission to promulgate administrative regulations prescribing the conditions under which horse racing shall be conducted in Kentucky and to fix and regulate the minimum amount of purses, stakes, or awards to be offered for the conduct of any horse race meeting. KRS 230.770(1) establishes the Kentucky standardbred development fund. KRS 230.770(6) and (7) authorize the commission to promulgate administrative regulations establishing the eligibility of horses participating in races for which a portion of the purse is provided by the Kentucky Standardbred Development Fund and the conditions, class, and quality of the races. KRS 230.802(1) establishes the Kentucky standardbred breeders' incentive fund. KRS 230.802(2)(b) requires the commission to promulgate administrative regulations establishing the conditions and criteria for the distribution of moneys from the fund. This administrative regulation establishes eligibility standards, administrative practices to enforce the standards, criteria for the distribution of moneys from these funds, mandatory criteria for races, and the administration of purses and payments in these races.

Section 1. Definitions.

(1) "Commission" means the Kentucky Horse Racing Commission.

(2) "Consolation" means the race following a series of preliminary legs for the next preferred horses, as established in Section 6 of this administrative regulation, which did not qualify for the finals of each racing division of the Kentucky Sire Stakes program.

(3) "Final" means the race following a series of preliminary legs established to determine the divisional champion of each racing division of the Sire Stakes Program.

(4) "Kentucky-bred" means a standardbred horse that is:

(a) Foaled out of a standardbred mare that is registered with the commission and meets the requirements of this administrative regulation [~~is a resident of Kentucky~~]; or

(b) Sired by a standardbred stallion residing in Kentucky that meets the requirements of this administrative regulation.

(5) "Kentucky Sire Stakes" means the series of races held annually in Kentucky for two (2) and three (3) year old Kentucky-bred fillies and colts, both trotting and pacing, and funded in whole or in part by the Kentucky Standardbred Development Fund or the Kentucky Standardbred Breeders' Incentive Fund.

(6) "KSBI" means the Kentucky Standardbred Breeders' Incentive Fund as established in KRS 230.802.

(7) "KSDF" means the Kentucky Standardbred Development Fund as established in KRS 230.770.

(8) "Stallion residing in Kentucky" means a stallion physically located and standing in Kentucky for 180 days of the calendar year in which the stallion is registered that does not service mares in any other state, jurisdiction, or country outside Kentucky during the calendar year in which the stallion is registered.

(9) "USTA" means the United States Trotting Association.

## Section 2. Domicile Requirements.

(1)

(a) An owner, lessee, stallion manager, or syndicate manager of a standardbred stallion residing in Kentucky who desires to use the stallion to breed and to have his progeny eligible for the KSDF or KSBI

shall register the stallion with the commission by December 31st of the year of conception of the horse sought to be eligible by submitting a completed "KSDF/KSBIF Stallion Certificate of Eligibility Form", KHRC 7-040-2.

(b) Standardbred stallions not residing in Kentucky are not required to register with the commission. The progeny of a standardbred stallion not residing in Kentucky is not eligible for the KSDF or KSBIF unless the progeny is that of a standardbred mare registered under and meeting the requirements of this administrative regulation.

(c) All standardbred stallions shall be registered with the USTA, Standardbred Canada, or other appropriate international harness racing governing agency whether residing in Kentucky or not.

(2)

(a) An owner, lessee, manager, or syndicate manager of a standardbred mare who desires to use the mare for breeding purposes and to have her progeny eligible for the KSDF or KSBIF shall register the mare by December 31st of the year of conception of the horse sought to be eligible by submitting a completed "KSDF/KSBIF Mare Certificate of Eligibility Form", KHRC 7-040-3.

(b) To be eligible for registration, the mare shall:

1. Be registered with the USTA, Standardbred Canada, or other appropriate international harness racing governing agency; and
2. Have resided in Kentucky for a period of not less than 180 days in the calendar year of conception of the horse sought to be eligible.

(c) If a horse is conceived by embryo and ovum transplant (ET), both the donor mare and recipient mare shall be registered during the year of conception, and the recipient mare shall reside in Kentucky for a period of not less than 180 days in the calendar year of conception of the horse sought to be eligible.

(3) Registrations shall be received by the commission by the close of business or postmarked on the deadline established in this section in order to be eligible.

(4) An owner, lessee, stallion manager, manager, or syndicate manager of a stallion or mare eligible for the KSDF and KSBIF shall be responsible for:

- (a) The registrations and records of the farm where the stallion stands or the mare resides; and
- (b) Complying with all applicable requirements of this administrative regulation.

### Section 3. Eligibility.

(1) In order to qualify for the Kentucky Sire Stakes, a foal shall be a two (2) or three (3) year old Kentucky-bred and maintain eligibility for the KSDF and KSBIF.

(2)

(a) Except as provided by paragraph (b) of this subsection, only a foal that is the first born to a mare (donor or recipient) in each calendar year produced by any method, including embryo and ovum transplant (ET), shall be eligible for the Kentucky Sire Stakes.

(b) Natural birth twins produced from the same pregnancy and foaling by the natural, nonrecipient mare shall also be eligible.

(3) Any future offspring of foals ineligible for racing under this section shall be ineligible for the Kentucky Sire Stakes.

Section 4. Distance. Each Kentucky Sire Stakes race shall be a one (1) mile dash.

Section 5. Post Positions. Post positions for the final, consolation, and all preliminary legs of the Kentucky Sire Stakes race shall be an open draw with two (2) horses drawn for the final and consolation races that are designated as "also eligibles" under Section 6(7) of this administrative regulation.

Section 6. Eligibility for the Final and Consolation Races.

(1) Beginning with the 2018 Kentucky Sire Stakes races, consolation races may be eligible for funding.

(2) A horse that does not start in at least one (1) of the preliminary legs scheduled shall not be eligible for the final or consolation.

(a) All horses earning points may enter in the final with:

1. The top eight (8) point earners, if the horses raced on a half (1/2) mile track or five-eighths (5/8) mile track; or
2. Top ten (10) point earners, if the horses raced on a one (1) mile track, to be declared eligible.

(b) On a half (1/2) mile track or five-eighths (5/8) mile track, the top eight (8) point earners shall not be eligible for the consolation. On a one (1) mile track, the top ten (10) point earners shall not be eligible for the consolation.

(c) A horse that is eligible to race in the final shall only be eligible to race in the final, unless the horse is qualified as an also eligible.

(d) A horse that is eligible to race in the consolation shall only be eligible to race in the consolation, unless qualified in the final as an also eligible.

(e) A horse that scratches from the final shall not race in the consolation.

(f) A horse that has qualified for the final or consolation shall remain eligible for the final or consolation.

(g) At least seven (7) eligible horses shall be declared for a consolation race to be contested.

(h) A horse that is automatically eligible to race in the final race shall not start in the consolation race.

(3) A horse that enters a preliminary leg that does not fill and is not raced shall receive credit toward fulfilling the minimum starting requirements established in subsection (2) of this section and toward determining tiebreaker status as established in subsection (6)(b) of this section.

(4) A horse that has been scratched from an event that is raced shall not receive credit toward meeting the starting requirements established in subsection (2) of this section.

(5) A horse, in order to start in the final or consolation, shall be declared at the host track where the race is being held on or before the time posted on the track condition sheet.



(6)

(a) If the number of horses eligible and declared into any final or consolation event exceeds the maximum number specified by the KSDF or KSBIF or the number of positions on the starting gate, the following point system as applied to KSDF preliminary legs shall determine preference for the final:

1. 1st place - fifty (50) points;
2. 2nd place - twenty-five (25) points;
3. 3rd place - twelve (12) points;
4. 4th place - eight (8) points;
5. 5th place - five (5) points;
6. 6th place and all other starters - one (1) point; and
7. A horse finishing in a dead heat for any position in a preliminary leg shall be awarded an equal share of the total number of points awarded for that position.

(b) If there is a tie among horses after the awarding of points pursuant to paragraph (a) of this subsection, there shall be a drawing by lot among those horses tied in total points to determine which horses shall be included in the final field.

(c) If a horse that is qualified for the final or consolation is not declared, the horse with the next highest point total, pursuant to paragraph (a) of this subsection, that is declared shall be eligible for the final or consolation.

(7) Also eligibles.

(a) The two (2) horses accumulating the highest point total, pursuant to subsection (6) of this section, that are declared into the final or consolation, but do not qualify for the final or consolation, shall be designated "also eligible". The horse with the highest point total from the preliminary legs shall be designated as the "first also eligible" and the horse with the next highest point total shall be designated as the "second also eligible".

(b) A horse that is scratched in the final or consolation shall be replaced by the "first also eligible" and then the "second also eligible", if necessary.

1. If post positions have not been drawn at the time of the scratch, the "also eligible" shall take the place of the horse that has been scratched and shall participate in the normal draw.

2. If post positions have been drawn at the time of the scratch, the "also eligible" shall assume the post position of the horse that has been scratched.

3. A horse shall not be moved into the final or consolation as a replacement after the official scratch time deadline that is in effect at the host track.

Section 7. Final Order of Finish. The judges' "official order of finish" shall be used in determining eligibility to the final exclusive of all appeals yet to be decided at the time of closing of the entry box for final events.

Section 8. Detention. All starters shall be subject to the detention policy of the racetrack.

Section 9. Number of Starters.

(1) There shall not be more than:

(a) Ten (10) starters in each final race on a one (1) mile track; and

(b) Eight (8) horses on a one-half (1/2) or five-eighths (5/8) mile track.

(2) All horses shall be on the gate for the final race.

Section 10. Declaration Fees.

(1) For each horse declared to race in a preliminary leg, there shall be a declaration fee of one-half of one percent (0.5%) of the total purses distributed or to be distributed for each race in which the horse is declared. [~~\$500. If a preliminary leg splits into two (2) or more divisions, the declaration fee shall be \$500 per division.~~]

(2) The declaration fee shall be due to the racing association at the time of declaration and payable one

(1) hour prior to post time of the race.

(3) Purses for the KSDF and KSBIF shall consist of money from:

- (a) Nominating fees;
- (b) Sustaining fees;
- (c) Declaration fees; and
- (d) Added money from the Commonwealth of Kentucky.

(4)

(a) Distribution of revenue for Kentucky Sire Stakes races shall be reviewed and addressed annually, not later than December 15 of each calendar year, by an advisory panel appointed by the Chairman of the commission and consisting of one (1) representative from each of the following:

1. The commission, who shall serve as the chairman of the panel;
2. The Kentucky Harness Horseman's Association;
3. The Kentucky Harness Association;
4. The host racetrack; and
5. One (1) participant in the fund nominated by the chairman of the commission from a group of up to four (4) nominees recommended by each of the above four (4) members having one (1) nomination each.

(b) Each member of the panel shall serve from July 1 through June 30 of the following year and shall be a resident of Kentucky.

(c) The final determination regarding distribution of revenue shall be made by the commission.

#### Section 11. Divisions of Preliminary Legs.

(1) The total number of horses entered shall determine the number of divisions of the preliminary legs that shall be required.

(2) Preliminary legs shall be split into divisions as follows:

(a) One (1) mile track:

1. Twelve (12) horses or less entered - one (1) division race.

2. Thirteen (13) to twenty (20) horses entered - two (2) divisions.
3. Twenty-one (21) to thirty (30) horses entered - three (3) divisions.
4. Thirty-one (31) to forty (40) horses entered - four (4) divisions.
5. Forty-one (41) to fifty (50) horses entered - five (5) divisions.
6. Fifty-one (51) to sixty (60) horses entered - six (6) divisions.

(b) One-half (1/2) and five-eighths (5/8) mile track:

1. Nine (9) to ten (10) horses entered - one (1) division.
2. Eleven (11) to sixteen (16) horses entered - two (2) divisions.
3. Seventeen (17) to twenty-four (24) horses entered - three (3) divisions.
4. Twenty-five (25) to thirty-two (32) horses entered - four (4) divisions.
5. Thirty-three (33) to forty (40) horses entered - five (5) divisions.
6. Forty-one (41) to forty-eight (48) horses entered - six (6) divisions.

(c) If the need exists for seven (7) or more divisions, eligibility to the final shall be determined in a manner consistent with the published conditions.

#### Section 12. Gait.

(1) Gait shall be specified by the owner of the horse on or before the first two (2) year old payment.

(2)

(a) Change of gait may be made at the time of declaration at the track.

(b) Sustaining payments shall remain in the funds of the original gait specified.

(3) A horse shall not race on both gaits in the same year.

#### Section 13. Divisions. A race shall be raced in separate divisions as follows:

(1) Colt, gelding, ridgeling divisions; and

(2) Filly divisions.

#### Section 14. Purse Distributions.

- (1) The purses awarded for all races shall be distributed on the following percentage basis:
- (a) Five (5) starters - fifty (50) percent, twenty-five (25) percent, twelve (12) percent, eight (8) percent, and five (5) percent;
  - (b) Four (4) starters - fifty (50) percent, twenty-five (25) percent, twelve (12) percent, eight (8) percent, and the remaining five (5) percent reverts back to the fund;
  - (c) Three (3) starters - fifty (50) percent, twenty-five (25) percent, twelve (12) percent, and the remaining thirteen (13) percent reverts back to the fund;
  - (d) Two (2) starters - fifty (50) percent, and twenty-five (25) percent, and the remaining twenty-five (25) percent reverts back to the fund; and
  - (e) One (1) starter - fifty (50) percent, and the remaining fifty (50) percent reverts back to the fund.
- (2) The percentage basis established by subsection (1) of this section shall apply at each of the Kentucky pari-mutuel tracks.

#### Section 15. Cancellations.

- (1) If circumstances prevent the racing of an event and the race is not drawn, all funds allocated to the division in each of the preliminary legs or the final shall be refunded and prorated to the owners of the horses eligible at the time of cancellation.
- (2) The eligible horses shall include only horses that made the payments required by Section 20 of this administrative regulation.
- (3) The added monies provided by the Commonwealth of Kentucky for use in the KSDF and KSBIF shall be disbursed by December 15 of each calendar year in accordance with the formula created by the panel as set out in Section 10(4) of this administrative regulation.

#### Section 16. Qualifying.

- (1) Any horse declared into a Kentucky Sires Stakes race shall:

(a) Show at least one (1) charted race line with no breaks within forty-five (45) days prior to the day of the race; and

(b) Have satisfied the following time requirements:

1. On a track larger than five-eighths (5/8) of a mile:

a. A two (2) year old trotter shall have been timed in two minutes and six seconds (2:06) or faster;

b. A two (2) year old pacer shall have been timed in two minutes and four seconds (2:04) or faster;

c. A three (3) year old trotter shall have been timed in two minutes and two seconds (2:02) or faster;

and

d. A three (3) year old pacer shall have been timed in two minutes and zero seconds (2:00) or faster.

2. On a five-eighths (5/8) mile track:

a. A two (2) year old trotter shall have been timed in two minutes and seven seconds (2:07) or faster;

b. A two (2) year old pacer shall have been timed in two minutes and five seconds (2:05) or faster;

c. A three (3) year old trotter shall have been timed in two minutes and three seconds (2:03) or faster; and

d. A three (3) year old pacer shall have been timed in two minutes and one second (2:01) or faster.

3. On a one-half (1/2) mile track:

a. A two (2) year old trotter shall have been timed in two minutes and eight seconds (2:08) or faster;

b. A two (2) year old pacer shall have been timed in two minutes and six seconds (2:06) or faster;

c. A three (3) year old trotter shall have been timed in two minutes and four seconds (2:04) or faster; and

d. A three (3) year old pacer shall have been timed in two minutes and two seconds (2:02) or faster.

(2) A horse shall be scratched from a race if the person declaring the horse has failed to advise the race secretary of a start that is not reflected on the electronic eligibilities.

(3) The requirements of this section shall apply both to wagering and nonwagering races.

Section 17. Purse Allocations.

(1) At a scheduled meeting of the commission, the commission shall:

- (a) Establish the distribution of funds for stakes races for the upcoming year; and
- (b) Authorize expenditures at a time it designates.

(2) The racing dates for KSDF and KSBIF stakes shall be issued after the track has established its race dates.

Section 18. Promotions. The KSDF or KSBIF may ~~shall~~ provide a trophy for each event, and the program that provides the trophy shall purchase the trophy out of its fund.

Section 19. Nomination Fees.

(1) After payment of the mare or stallion nomination fee, foals shall remain eligible for events each year by making the required sustaining and declaration payments for that year, as set forth in Section 20. The "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form," KHRC 7-040-1, shall be filed with the commission along with the nomination and sustaining fees.

(2) [(4)] After payment of the yearling nomination fee, foals shall remain eligible for events each year by making the required sustaining and declaration payments for that year, as set forth in Section 20. The "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form," ~~7~~ KHRC 7-040-1, shall be filed with the commission along with the nomination and sustaining fees.

(3) [(2)] The two (2) year old March 15 payment shall be made ~~in order~~ to remain eligible to the KSDF and KSBIF as a three (3) year old without penalty, except as provided in Section 20.

(4) [(3)] Nomination and sustaining payments shall be made to the KSDF and KSBIF in U.S. funds by a money order or a check drawn on a U.S. bank account.

Section 20. Nomination Schedule.

(1) Mares or Stallions shall be nominated by December 31 of the year of conception of the horse sought to be eligible by submitting a completed "KSDF/KSBF Stallion Certificate of Eligibility Form," KHRC 7-040-2, or "KSDF/KSBF Mare Certificate of Eligibility Form," KHRC 7-040-3. The nomination fee shall be set forth in KHRC 7-040-2 or 7-040-3, except as provided in subsection (4) of this section.

~~[(1) Yearlings shall be nominated by May 15 of their yearling year, except as provided in subsection (4) of this section.]~~

(2) For yearlings sired by a standardbred stallion or mare that resided in Kentucky during the year of conception for a period no less than 180 days ~~[residing in Kentucky]~~ and registered with the KSDF and KSBIF, the nomination fee shall be set forth in the "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form," KHRC 7-040-1, except as provided in subsection (5) of this section ~~[forty (40) dollars per yearling].~~

~~[For yearlings sired by a standardbred stallion not residing in Kentucky, the nomination fee shall be eighty (80) dollars per yearling.]~~

(3) Nominated horses shall be registered with the USTA, Standardbred Canada, or other appropriate international harness racing governing agency and shall be properly identified to the satisfaction of the commission at the time of the nomination. Identification shall be determined by the official registration maintained by the USTA, Standardbred Canada, or other appropriate international harness racing governing agency.

(4) If a mare is not nominated to the KSDF and KSBIF by December 31 of the year of conception, the mare shall be nominated by submitting a "Late KSDF/KSBIF Mare Certificate of Eligibility Form," KHRC 7-040-4, and paying a penalty as set forth in KHRC 7-040-4.

(5) [(4)] If a horse sired by a standardbred stallion or mare that resided in Kentucky during the year of conception, for a period no less than 180 days, and registered with the KSDF and KSBIF is not nominated during its yearling year, the horse may be nominated by March 15 of its two (2) year old year by



submitting the "KSDf/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form," KHRC 7-040-1, and paying a penalty as set forth in KHRC 7-040-1.

~~[(a) For horses sired by a standardbred stallion residing in Kentucky and registered with the KSDf and KSBIF, a nomination fee of \$500 is made by March 15 of the horse's two (2) year old year, along with the sustaining payment required by subsection (5)(a) of this section; or~~

~~(b) For horses sired by a standardbred stallion not residing in Kentucky, a nomination fee of \$600 is made by March 15 of the horse's two (2) year old year, along with the sustaining payment required by subsection (5)(a) of this section.]~~

(6) For three (3) year old horses that fail to make the mandatory March 15 two (2) year old sustaining payment, the horse may be nominated by February 15 of its three (3) year old year by submitting a KHRC 7-040-1 and paying a penalty as set forth in KHRC 7-040-1.

~~[(5) Sustaining payments shall be as follows:~~

<del>(a) TWO (2) YEAR OLD PAYMENTS</del>	
<del>March 15</del>	<del>\$300</del>
<del>April 15</del>	<del>\$300</del>
<del>May 15</del>	<del>\$300</del>
<del>March 15 payment shall be mandatory to make entry eligible as a three (3) year old.</del>	
<del>(b) THREE (3) YEAR OLD PAYMENTS</del>	
<del>February 15</del>	<del>\$300</del>
<del>March 15</del>	<del>\$300</del>
<del>April 15</del>	<del>\$300</del>

~~]~~

Section 21. Early Closing Events. The commission may provide for separate early closing events for Kentucky-bred horses.

Section 22. Stallion and Breeder awards. The commission may provide for stallion and breeder awards for Kentucky-bred horses.

Section 23. Incorporation by Reference.

(1) The following material is incorporated by reference:

(a) "KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form", KHRC 7-040-1, 11/2018;

(b) "KSDF/KSBIF Stallion Certificate of Eligibility Form", KHRC 7-040-2, 11/2018; and

(c) "KSDF/KSBIF Mare Certificate of Eligibility Form", KHRC 7-040-3, 11/2018.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Kentucky Horse Racing Commission, 4063 Iron Works Parkway, Building B, Lexington, Kentucky 40511, Monday through Friday, 8 a.m. to 4:30 p.m.

# Current

KHRC 7-040-1 (11/2018)

## KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form

**MUST BE COMPLETED TO MAKE A HORSE ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND: 810 KAR 7:040**

### Submit to:

**KENTUCKY HORSE RACING COMMISSION**  
4063 Iron Works Parkway, Building B | Lexington, KY 40511  
Telephone: (859) 246-2847 | Facsimile: (859) 246-2887

### Please Include:

- Proper Payment in U.S. Funds Only
- A copy of the nominated horse's USTA, Standardbred Canada, or other appropriate international harness racing governing agency's registration paperwork with the horse's initial KSDF/KSBIF Nomination Form

NOMINATION TYPE:    YEARLING        TWO (2) YEAR OLD        THREE (3) YEAR OLD   

HORSE: \_\_\_\_\_ SEX: \_\_\_\_\_ GAIT: \_\_\_\_\_ YOB: \_\_\_\_\_

SIRE: \_\_\_\_\_ YOB: \_\_\_\_\_ MARE: \_\_\_\_\_ YOB: \_\_\_\_\_

OWNER: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

PHONE: \_\_\_\_\_ EMAIL: \_\_\_\_\_

### **Nomination Deadlines & Fees:**

#### **Three (3) Year Old Sustaining Payments:**

- |                          |                           |       |
|--------------------------|---------------------------|-------|
| <input type="checkbox"/> | February 15 <sup>th</sup> | \$300 |
| <input type="checkbox"/> | March 15 <sup>th</sup>    | \$300 |
| <input type="checkbox"/> | April 15 <sup>th</sup>    | \$300 |

#### **Two (2) Year Old Sustaining Payments:**

- |   |                        |  |   |
|---|------------------------|--|---|
| <input type="checkbox"/>  | March 15 <sup>th</sup> | \$300  | <i>(This Payment is Mandatory to Make Entry Eligible as a Three (3) Year Old)</i> |
| <i>Additional Penalty – If horse was NOT NOMINATED as a YEARLING:</i> |                        |  |   |
| <input type="checkbox"/>  | \$500                  | <i>(If the Breeding Stallion Stood in KY 180 Days During the Breeding Year &amp; Registered with KSDF and KSBIF)</i> |   |
| <input type="checkbox"/>  | \$600                  | <i>(If the Breeding Mare Resided in KY 180 Days During the Breeding Year &amp; Registered with KSDF and KSBIF)</i>   |   |
| <input type="checkbox"/>  | April 15 <sup>th</sup> | \$300  |   |
| <input type="checkbox"/>  | May 15 <sup>th</sup>   | \$300  |   |

#### **Yearlings (1):**

- |                          |                      |      |  |
|--------------------------|----------------------|------|--|
| <input type="checkbox"/> | May 15 <sup>th</sup> | \$40 | <i>(If the Breeding Stallion Stood in KY 180 Days During the Breeding Year &amp; Registered with KSDF and KSBIF)</i> |
| <input type="checkbox"/> | May 15 <sup>th</sup> | \$80 | <i>(If the Breeding Mare Stood in KY 180 Days During the Breeding Year &amp; Registered with KSDF and KSBIF)</i>     |

For more information, please visit our website at <http://khrc.ky.gov>



**KSDF/KSBIF Kentucky Sire Stakes (KYSS) Nomination Form**

**MUST BE COMPLETED TO MAKE A HORSE ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND; 810 KAR 7:040**

**MUST INCLUDE:**

- A copy of the horse's registration papers or USTA pathway report
- Proper Payment in U.S. Funds Only (check or money order)

**Submit to:**

**KENTUCKY HORSE RACING COMMISSION**  
 4063 Iron Works Parkway, Building B | Lexington, KY 40511  
 Telephone: (859) 246-2847

NOMINATION TYPE:      YEARLING       TWO (2) YEAR OLD       THREE (3) YEAR OLD

HORSE: \_\_\_\_\_ SEX: \_\_\_\_\_ GAIT: \_\_\_\_\_

REGISTRATION / MICROCHIP # \_\_\_\_\_ YOB: \_\_\_\_\_

SIRE: \_\_\_\_\_ DAM: \_\_\_\_\_

OWNERS: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

PHONE: \_\_\_\_\_ EMAIL: \_\_\_\_\_

**Nomination Deadlines & Fees:**

**Three (3) Year Old Sustaining Payments:**

**Penalty - Three (3) Year Old Sustaining Payments:**

<input type="checkbox"/> February 15 <sup>th</sup> \$300	<input type="checkbox"/> February 15 <sup>th</sup> \$600	
<input type="checkbox"/> March 15 <sup>th</sup> \$300	<input type="checkbox"/> March 15 <sup>th</sup> \$600	<i><b>\$1,200 Additional Penalty – Pay this fee if horse was NOT NOMINATED as a Two (2) Year Old failing to pay the March 15<sup>th</sup> Mandatory Two (2) Year Old Payment.</b></i>
<input type="checkbox"/> April 15 <sup>th</sup> \$300	<input type="checkbox"/> April 15 <sup>th</sup> \$600	

**Two (2) Year Old Sustaining Payments:**

<input type="checkbox"/> March 15 <sup>th</sup> \$300	<i>(This Payment is Mandatory to Make Entry Eligible as a Three (3) Year Old without Penalty)</i>	
<input type="checkbox"/> April 15 <sup>th</sup> \$300	<input type="checkbox"/> May 15 <sup>th</sup> \$300	<i><b>\$500 Additional Penalty – Pay this fee if horse was NOT NOMINATED as a Yearling and the Sire or Dam resided in Kentucky for 180 Days During the Breeding Year &amp; Registered with the KSDF/KSBIF</b></i>

**Yearlings (1) Payment:**

<input type="checkbox"/> May 15 <sup>th</sup> \$60	<i>(Pay this fee if the Sire or Dam Resided in KY 180 Days During the Breeding Year &amp; Registered with KSDF/KSBIF)</i>	<i><b>Use form 7-040-4 KSDF/KSBIF APPLICATION FOR LATE YEARLING REGISTRATION</b></i>
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For more information, please visit our website at <http://khrc.ky.gov>

Current

KHRC 7-040-2 (11/2018)

**KSDF/KSBIF Stallion Certificate of Eligibility Form**

**MUST BE COMPLETED TO MAKE A STALLION'S FOAL(S) ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND: 810 KAR 7:040**

**Must be Postmarked by December 31<sup>st</sup> the Year of Conception & Submitted to**  
**KENTUCKY HORSE RACING COMMISSION**

4063 Iron Works Parkway, Building B | Lexington, KY 40511  
Telephone: (859) 246-2847 | Facsimile: (859) 246-2887

**Please Include:**

- A copy of the stallion's USTA, Standardbred Canada, or other appropriate international harness racing governing agency's registration paperwork with the stallion's initial Certificate of Eligibility Form
- If under lease, a copy of the lease or contract must be filed along with this affidavit

The undersigned hereby makes application for a KSDF/KSBIF Stallion Certificate of Eligibility for the following stallion:

STALLION: \_\_\_\_\_ YOB: \_\_\_\_\_

STALLION WILL STAND AT: \_\_\_\_\_ PHONE: \_\_\_\_\_

FARM'S PHYSICAL ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

STALLION OWNED BY: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

PHONE: \_\_\_\_\_ EMAIL: \_\_\_\_\_

This registration is subject to all applicable statutes, regulations and rules, including KRS Chapter 230 and KAR Title 810. The regulation concerning the Kentucky Standardbred Development Fund and the Kentucky Standardbred Breeders' Incentive Fund can be found at 810 KAR 7:040.

I certify that the above stallion shall reside in Kentucky for one hundred eighty (180) days in the calendar year of conception, and that the stallion shall not service a mare in any other state, jurisdiction, or country during the year in which the stallion is registered.

I understand that failure to meet any requirement contained in 810 KAR 7:040 may subject me to the penalties contained therein and other applicable penalties provided by Kentucky statute or regulation. I agree to promptly provide any additional information requested by the commission relating to the registration or the registration(s) may be denied or revoked. If submitted by an authorized agent, then the agent, as well as the stallion owner, may be subject to all appropriate penalties. All filings are subject to audit by the commission.

\_\_\_\_\_  
QUALIFIED OWNER, LESSEE OR AUTHORIZED AGENT  
(print name)

\_\_\_\_\_  
Signature

For more information, please visit our website at <http://khrc.ky.gov>



**KSDF/KSBIF Stallion Certificate of Eligibility Form**

**MUST BE COMPLETED TO MAKE A STALLION'S FOAL(S) ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND: 810 KAR 7:040**

**MUST INCLUDE WITH NOMINATION:**

- S20 Per Nomination** - Proper Payment in U.S. Funds Only (check or money order)
- A copy of the stallion's registration paperwork or USTA pathway report
- If under lease, a copy of the lease or contract must be filed along with this form

**Must be Postmarked by December 31<sup>st</sup> of the Year of Conception & Submitted to:**

**KENTUCKY HORSE RACING COMMISSION**  
4063 Iron Works Parkway, Building B | Lexington, KY 40511  
Telephone: (859) 246-2847 | Fax: (859) 246-2887

The undersigned hereby makes application for a KSDF/KSBIF Stallion Certificate of Eligibility for the following stallion:

**STALLION:** \_\_\_\_\_

**REGISTRATION / MICROCHIP #:** \_\_\_\_\_ **GAIT:** \_\_\_\_\_ **YOB:** \_\_\_\_\_

**WILL STAND AT:** \_\_\_\_\_ **PHONE:** \_\_\_\_\_

**FARM'S PHYSICAL ADDRESS:** \_\_\_\_\_

**CITY:** \_\_\_\_\_ **ST:** \_\_\_\_\_ **ZIP:** \_\_\_\_\_

**KY RESIDENCY:** **FROM:** \_\_\_ / \_\_\_ / \_\_\_ **TO:** \_\_\_ / \_\_\_ / \_\_\_ **TOTAL DAYS:** \_\_\_\_\_ **(A)**  
(Minimum: 180 Days)

**NON-KY RESIDENCY:** **FROM:** \_\_\_ / \_\_\_ / \_\_\_ **TO:** \_\_\_ / \_\_\_ / \_\_\_ **ST:** \_\_\_\_\_ **TOTAL DAYS:** \_\_\_\_\_ **(B)**  
(Lines A + B Must Equal 365)

**STALLION OWNED BY:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

**CITY:** \_\_\_\_\_ **ST:** \_\_\_\_\_ **ZIP:** \_\_\_\_\_

**PHONE:** \_\_\_\_\_ **EMAIL:** \_\_\_\_\_

This registration is subject to, and I agree to abide by, all applicable statutes, regulations and rules, including, but not limited to, KRS Chapter 230 and KAR Title 810. The regulation concerning the Kentucky Standardbred Development Fund and the Kentucky Standardbred Breeders' Incentive Fund can be found at 810 KAR 7:040.

I certify that the above stallion shall reside in Kentucky for one hundred eighty (180) days in the calendar year of conception-

I \_\_\_\_\_, under the penalty of perjury, being duly sworn, depose and say that the above statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial and any and all other penalties available under the law. I understand that failure to meet any requirement contained in 810 KAR 7:040 may subject me to the penalties contained therein and other applicable penalties provided by Kentucky statute or regulation. I agree to promptly provide any additional information requested by the Kentucky Horse Racing Commission relating to the registration. I certify that I will keep accurate and updated records on file for any transactions related to transportation and all invoicing related to a stallion's residency so that I will have all documentation readily available to produce at the request of the Kentucky Horse Racing Commission.

If submitted by an authorized agent, then the agent, as well as the stallion owner, may be subject to all appropriate penalties. All filings are subject to audit by the Commission.

\_\_\_\_\_  
**QUALIFIED OWNER, LESSEE OR AUTHORIZED AGENT**  
(Clearly Print Name)

\_\_\_\_\_  
**Signature/Date**

For more information, please visit our website at <http://khrc.ky.gov>

# Current

## KSDF/KSBIF Mare Certificate of Eligibility Form

**MUST BE COMPLETED TO MAKE A MARE'S FOAL(S) ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND: 810 KAR 7:040.**

**Must be Postmarked or Received by December 31<sup>st</sup> the Year of Conception & Submitted to:**

**KENTUCKY HORSE RACING COMMISSION**  
4063 Iron Works Parkway, Building B | Lexington, KY 40511  
Telephone: (859) 246-2847 | Facsimile: (859) 246-2887

**Please Include:**

- A copy of the mare's USTA, Standardbred Canada, or other appropriate international harness racing governing agency's registration paperwork with the mare's initial Certificate of Eligibility Form
  - If under lease, a copy of the lease or contract must be filed along with this affidavit

The undersigned hereby makes application for a KSDF/KSBIF Mare Certificate of Eligibility for the following mare:

MARE: \_\_\_\_\_ YOY: \_\_\_\_\_ EMBRYO TRANSFER? YES:  NO:

BRED TO: \_\_\_\_\_ YOY: \_\_\_\_\_

MARE WILL RESIDE AT: \_\_\_\_\_ PHONE: \_\_\_\_\_  
(recipient mare if by ET)

FARM'S PHYSICAL ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

MARE OWNED BY: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

PHONE: \_\_\_\_\_ EMAIL: \_\_\_\_\_

This registration is subject to all applicable statutes, regulations and rules, including KRS Chapter 230 and KAR Title 810. The regulation concerning the Kentucky Standardbred Development Fund and the Kentucky Standardbred Breeders' Incentive Fund can be found at 810 KAR 7:040.

I certify that the above mare shall reside in Kentucky for one hundred eighty (180) days in the calendar year of conception.

I, \_\_\_\_\_, under the penalty of perjury, being duly sworn, depose and say that the above statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial and any and all other penalties available under the law.

SIGNED \_\_\_\_\_  
(Owner or Lessee)

For more information, please visit our website at <http://khrc.ky.gov>



**KSDF/KSBIF Mare Certificate of Eligibility Form**

**MUST BE COMPLETED TO MAKE A MARE'S FOAL(S) ELIGIBLE TO THE KENTUCKY STANDARDBRED DEVELOPMENT FUND AND KENTUCKY STANDARDBRED BREEDERS' INCENTIVE FUND: 810 KAR 7:040**

**MUST INCLUDE WITH NOMINATION:**

- S20 Per Nomination** - Proper Payment in U.S. Funds Only (check or money order)
- A copy of the mare's registration paperwork or USTA pathway report
- If under lease, a copy of the lease or contract must be filed along with this form

**Must be Postmarked by December 31<sup>st</sup> of the Year of Conception & Submitted to:**

**KENTUCKY HORSE RACING COMMISSION**  
4063 Iron Works Parkway, Building B | Lexington, KY 40511  
Telephone: (859) 246-2847 | Fax: (859) 246-2887

The undersigned hereby makes application for a KSDF/KSBIF Mare Certificate of Eligibility for the following mare:

MARE: \_\_\_\_\_ BRAND / MICROCHIP # \_\_\_\_\_

MARE'S YOBB: \_\_\_\_\_ EMBRYO TRANSFER: YES:  NO:

BRED TO: \_\_\_\_\_ STATE WHERE STALLION STANDS: \_\_\_\_\_

MARE WILL RESIDE AT: \_\_\_\_\_ PHONE: \_\_\_\_\_

FARM'S PHYSICAL ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

KY RESIDENCY: FROM: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ TO: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ TOTAL DAYS: \_\_\_\_\_ (A)  
(Minimum: 180 Days)

NON-KY RESIDENCY: FROM: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ TO: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ ST: \_\_\_\_\_ TOTAL DAYS: \_\_\_\_\_ (B)  
(Lines A + B Must Equal 365)

OWNER / NOMINATOR: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

PHONE: \_\_\_\_\_ EMAIL: \_\_\_\_\_

This registration is subject to, and I agree to abide by, all applicable statutes, regulations and rules, including, but not limited to, KRS Chapter 230 and KAR Title 810. The regulation concerning the Kentucky Standardbred Development Fund and the Kentucky Standardbred Breeders' Incentive Fund can be found at 810 KAR 7:040.

I certify that the above mare shall reside in Kentucky for one hundred eighty (180) days in the calendar year of conception-

I \_\_\_\_\_, under the penalty of perjury, being duly sworn, depose and say that the above statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial and any and all other penalties available under the law. I understand that failure to meet any requirement contained in 810 KAR 7:040 may subject me to the penalties contained therein and other applicable penalties provided by Kentucky statute or regulation. I agree to promptly provide any additional information requested by the Kentucky Horse Racing Commission relating to the registration. I certify that I will keep accurate and updated records on file for any transactions related to transportation and all invoicing related to a mare's residency so that I will have all documentation readily available to produce at the request of the Kentucky Horse Racing Commission.

If submitted by an authorized agent, then the agent, as well as the mare owner, may be subject to all appropriate penalties. All filings are subject to audit by the Commission.

\_\_\_\_\_  
**QUALIFIED OWNER, LESSEE OR AUTHORIZED AGENT**  
(Clearly Print Name)

\_\_\_\_\_  
**Signature / Date**

For more information, please visit our website at <http://khrc.ky.gov>





## KSDF/KSBIF Application for Late Mare Registration

**MUST INCLUDE:**

- A copy of the mare's registration paperwork or USTA pathway report
- If under lease, a copy of the lease or contract must be filed along with this form

Registration Deadlines and Fees:

- \$750 January 1<sup>st</sup> of Foaling Year – May 15<sup>th</sup> of the Yearling Year
- \$1,500 May 16<sup>th</sup> of the Yearling Year – December 31<sup>st</sup> of the Yearling Year

**Submit To:**

**KENTUCKY HORSE RACING COMMISSION**  
 4063 Iron Works Pkwy, Bldg. B | Lexington, KY 40511  
 Ph: 859-246-2847 | Fax: 859-246-2887

NAME OF MARE	MARE'S YOBB	SIRE OF MARE	BRAND / MICROCHIP #	ET (Y/N)

**MARE'S BOARDING FARM INFORMATION DURING THE YEAR OF CONCEPTION:**

(Mare **MUST** have maintained 180 days of residency in Kentucky during the year of conception.)

\* **SUFFICIENT DOCUMENTATION SUPPORTING THE MARE'S RESIDENCY MUST BE INCLUDED** \*

Boarding Farm: \_\_\_\_\_ Owner/Manager: \_\_\_\_\_

Street: \_\_\_\_\_ City: \_\_\_\_\_ ST: \_\_\_\_\_ Zip: \_\_\_\_\_  
(Physical Address ONLY, no PO Boxes)

Phone: \_\_\_\_\_ E-Mail: \_\_\_\_\_

NAME OF FOAL/YEARLING	YOBB	FOAL/YEARLING SIRE	BRAND / MICROCHIP #	S/G

Owner(s) of Foal/Yearling: \_\_\_\_\_

Street: \_\_\_\_\_ City: \_\_\_\_\_ ST: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_ E-Mail: \_\_\_\_\_

This registration is subject to, and I agree to abide by, all applicable statutes, regulations and rules, including, but not limited to, KRS Chapter 230 and KAR Title 810. The regulation concerning the Kentucky Standardbred Development Fund and the Kentucky Standardbred Breeders' Incentive Fund can be found at 810 KAR 7:040.

I certify that the above mare resided in Kentucky for one hundred eighty (180) days in the calendar year of conception.

I \_\_\_\_\_, under the penalty of perjury, being duly sworn, depose and say that the above statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial and any and all other penalties available under the law. I understand that failure to meet any requirement contained in 810 KAR 7:040 may subject me to the penalties contained therein and other applicable penalties provided by Kentucky statute or regulation. I agree to promptly provide any additional information requested by the Kentucky Horse Racing Commission relating to the registration.

If submitted by an authorized agent, then the agent, as well as the owner, may be subject to all appropriate penalties. All filings are subject to audit by the Commission.

\_\_\_\_\_  
**QUALIFIED OWNER OR AUTHORIZED AGENT**  
(Clearly Print Name)

\_\_\_\_\_  
**Signature / Date**

For more information, please visit our website at <http://khrc.ky.gov>



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
CHAIRMAN

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

TO: Kentucky Horse Racing Commission  
FROM: Barbara Borden, Chief State Steward  
DATE: January 31, 2023

RE: **CHURCHILL DOWNS RACETRACK, LLC'S PROPOSED 2023 SPRING RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM**

---

By letter sent January 31, 2023, Ben Huffman, Racing Secretary at Churchill Downs Racetrack, LLC (Churchill Downs), requests approval of the attached roster of Racing Officials and Date Preference System for Churchill Downs' 2023 Spring Race Meet. Please be advised all Stewards have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the first race date of the meet.

KHRC staff recommends approval.

COMMISSION ACTION

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer

\_\_\_\_\_ Deny



January 31, 2023

Jamie Eads  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Lexington, KY 40511

Dear Ms. Eads

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2023 Churchill Downs Spring Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

D. Ben Huffman  
Racing Secretary

Enclosure

700 CENTRAL AVENUE • LOUISVILLE, KY 40208 • (502) 636-4400 • CHURCHILLDOWNS.COM •  
KENTUCKYDERBY.COM

## CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2023 SPRING MEET

ASSOCIATION STEWARD:	Tyler Picklesimer
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
ASST. HORSE IDENTIFIER:	Kenneth Bourque
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Charlie Woods
CLAIMS CLERK:	Beth Bungert
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Carol Renn
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Shane Hoodenpyle
SR. DIRECTOR SECURITY AND SAFETY:	Josh Ball
DIRECTOR OF MUTUELS:	Matt Bonar
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Jorie Gorski Baley Hare Autumn Charley Allison DeLuca Steve Penrod Tim Day Travis Stone
ANNOUNCER:	
STALL MANAGER:	Steve Hargrave

## DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

**Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.**

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:
3. **FOR A ZERO DATE** foal papers and digital foal papers must be turned in to the identifier by Saturday, April 29, 2023.

### **E-Date**

This date will correspond to:

- a) The day on which a horse's foal papers or digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting). An unnamed horse will not receive an E-date.
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

### **R-Date**

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

4. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
5. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
6. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-date from the date scratched.
7. When a race is taken off the turf, main track only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the turf, main track only (MTO) horses will retain their previous date and will not be permitted to start.
8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
10. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.

11. The draw of stakes and handicap race is not subject to date preference.
12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non maiden races.

## **CONDITION PREFERENCE**

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), providing the horse has finished 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> or 4<sup>th</sup> for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$25,000 will not be preferred.

## **WINNERS ARE PREFERRED IN ALL NON-MAIDEN RACES.**

### **Also-Eligibles**

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in the order listed on the overnight.

## **810 KAR 4:010. HORSES**

The stewards may at any time require presentation of a horse's registration certificate, virtual certificate, or racing permit or other proof of ownership.

Upon claim, sale or any other transfer of ownership, the horse's registration certificate or racing permit shall be given to the new owner, and any virtual certificate shall be transferred to the new owner electronically. The new owner shall report the change in ownership to the stewards.



**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Ray A. Perry**  
SECRETARY

**Jamie Eads**  
EXECUTIVE DIRECTOR

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Barbara Borden, Chief State Steward  
DATE: February 13, 2023

RE: **REVOLUTIONARY RACING KENTUCKY, LLC'S PROPOSED 2023 SPRING RACE MEET  
OFFICIALS AND DATE PREFERENCE SYSTEM**

---

By letter sent January 31, 2023, John Marshall, President of Revolutionary Racing Kentucky, LLC (Sandy Ridge Racetrack), requests approval of the attached roster of Racing Officials and Date Preference System for Sandy Ridge Racetrack's 2023 Spring Race Meet conducted at Red Mile Racetrack. Please be advised all Stewards have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the opening day of its meet.

KHRC staff recommends approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer

\_\_\_\_\_ Deny



---

**SANDY RIGDE at THE RED MILE OFFICIALS LIST for the 2023 spring meet**

RACING MANAGER	Terry Oliver
RACING SECRETARY	Mike Anifantis
ASSISTANT RACING SECRETARY	Mary Peterman
HORSEMENS BOOKKEEPER	Terry Moore
KY STATE STEWARD	Dan Fick
ASSOCIATION STEWARD	TBD
PLACING JUDGES	Alan Fox Sherri Torres
Paddock JUDGE	Michael Feichtinger
CLERK OF SCALES	Jerry Jewel
STALL SUPERINTENDENT	Dee Scoles
CLOCKER	Shane Burke
COLORS/NUMBERS	Kevin Rarick
VALET	Henry Gullery, Jr.
JOCKEY ROOM CUSTODIAN	Dee Scoles
JOCKEY ROOM EMPLOYEE	Kevin Rarick
HORSE ID	Mandy Green
TRACK VET	TBD
STARTER	Dave Fuller
ASSISTANT STARTER	TBD
TRACK SUPERINTENDENT	Dan Coons
OUTRIDER	Shelly Moran-Taylor
ANNOUNCER	Robert Fox
TIMER	Ford Cook, American Teletimer
DIRECTOR OF MUTUELS	Mark Brown
DIRECTOR OF SECURITY	Gregg Jones





---

**SANDY RIGDE at THE RED MILE PREFERENCE POLICY for the 2023 spring meet**

Below is the preference policy of the meet as approved by the AQHA, KY-QHA and published in the meet Rules of Racing.

1. Horses that enter and are in the body of a race will receive an **R date** corresponding to the day of the meet they race and lose any date previously held. This excludes stakes, handicap stakes, and trials.
2. Horses which scratch or are scratched will be assigned an **R date** as if they had run.
3. A horse placed on the steward, starter, or vets list will lose their date and be assigned an **R date** corresponding to the day of the meet they are removed from the list.
4. Horses which have established a date will lose said date should their papers be withdrawn from the Racing Office or demonstrate intent to race elsewhere. Should papers be removed from the Racing Office for just cause (i.e. Tattoo, etc.) the Racing Secretary may determine the status of preference date established.
5. A horse which is a scheduled starter in a cancelled race will retain their previous date.
6. In no way does the transfer of ownership or trainer transfer affect a horse's preference date.
7. When a horse name appears in the body of a race, other than a stakes or trial race, and is entered on a following day, such entry will receive no consideration should the race be over-filled.



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission  
FROM: Barbara Borden, Chief State Steward  
DATE: February 9, 2023

RE: **KEENELAND ASSOCIATION, INC.'S PROPOSED 2023 SPRING RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM**

---

By letter dated January 30, 2023, Ashley Whalen, Director of Racing Administration at Keeneland Association, Inc. (Keeneland), requests approval of the attached roster of Racing Officials and Date Preference System for Keeneland's 2023 Spring Race Meet conducted at Red Mile Racetrack. Please be advised all Stewards have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by the opening day of its meet.

KHRC staff recommends approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve  
\_\_\_\_\_ Defer  
\_\_\_\_\_ Deny



Keeneland Association, Inc.  
4201 Versailles Road  
P.O. Box 1690  
Lexington, KY 40588-1690  
859 254-3412 Tel.  
800 456-3412  
859 288-4348 Fax  
www.keeneland.com

January 30, 2023

Ms. Jamie Fads  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Pkwy., Bldg. B  
Lexington, KY 40511

Dear Jamie:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2023 Spring Meeting at Keeneland will be as follows:

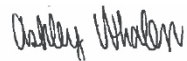
Vice President of Racing	Gatewood Bell
Association Steward	Ronald Herbstreit
Racing Secretary	David Ben Huffman
Director of Racing Administration	Ashley Whalen
Assistant Racing Secretary	Tiffany Bourque
Stakes Coordinator	Baley Hare
Starter	Jeff Powell
Paddock Judge	David Wedlake
Assistant Paddock Judge	Tyler Picklesimer
Entry Clerks & Patrol/Placing Judges	Beth Bungert, Timothy Day, Kevin Greely, Joric Beschizza, Kenneth Bourque, Jr., Javier Torres
Clerk of Scales	Melinda Vest
Horse Identifier	Chet Smithhart
Assistant Identifier	TrackMaster
Timer	Jackie Herbstreit
Program Coordinator	Charlie Woods
Jockey's Room Custodian	Chris Crowe, Dave Norton, Gary Reckner
Clocker	Mandy Green
Claims Clerk	Scott Cole, Robin Ricsenbeck, Colby Javergne, Sam Hackler
Outriders	Ryan Oakman
Horsemen's Bookkeeper	Jim Pendergest
Track Superintendent	Jim Goodman
Director of Wagering	Phil Gardner
Director of Security	Arthur S. Tearl
Paddock Blacksmith	Kurt Becker
Announcer	Wayne Mogge
Stable Manager	

Post time will be 1:00 p.m. each day with the exception of Saturday, April 8 when the first post will be 12:30 p.m. We will hold eight to eleven live races for the Keeneland Sprint Meet. Keeneland will continue to utilize a date preference system and the following entry schedule:

<i><b>Race Date</b></i>	<i><b>Entry Day</b></i>
Friday*	Sunday
Saturday	Wednesday
Sunday	Thursday
Wednesday	Friday
Thursday	Saturday
<i>*Exceptions</i>	
Friday, April 7	Tuesday, April 4
Friday, April 14	Tuesday, April 11

Thank you for your consideration.

Sincerely,



Ashley Whalen  
Director of Racing Administration

### KEENELAND DATE PREFERENCE SYSTEM

The Date System of preference will be used at Keeneland Association as described below:

**Foal Certificates and Digital Foal Certificates are no longer required to be on file in the racing office to enter or start at Keeneland Association; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.**

TO RECEIVE A ZERO (O) DATE, foal certificates and digital foal certificates must be on file with the Horse Identifier by Monday, April 3, 2023.

#### Preference by condition eligibility then by:

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Keeneland Association will be assigned a preference date. Dates assigned will be of two types:

#### E-Date

This date will correspond to:

- a. The day on which a horse's foal papers/digital foal papers and name tag are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b. The day on which a horse is first entered if his papers are not on file with the identifier.
- c. To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

#### R-Date

This date will correspond to:

- a. The day of a horse's most recent race at Keeneland Association.
  - b. An R-Date will also be assigned to a horse which scratches (provided the foal papers are on file with the identifier).
3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Keeneland Association.
  4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
  5. A horse that runs in a race, which has been taken off the Turf will retain its Turf date and establish a Dirt R-Date. A scratched horse will receive an R-Date from the date scratched for both Turf and Dirt.
  6. When a race is taken off the Turf, Main Track Only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the Turf, MTO horses will retain their previous date.
  7. Horses on the Also-Eligible list shall be permitted to draw into races in order listed. A horse entered as MTO shall be listed as an Also-Eligible. If the race remains on the Turf, a horse listed as MTO shall not be permitted to draw into the race.
  8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
  9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
  10. A horse which is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain its previous date.
  11. Stakes and handicap races are not subject to date preference.

12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's Office.
13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non-maiden races.

**Condition Preference**

- Horses are preferred by their available conditions in all allowance races beginning with Graded stake winners (Grade I-II-III), open stakes winners through their allowance conditions providing the horse has finished 1st, 2nd, 3rd or 4th for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$25,000 will not be preferred.
- No entry will be received except upon the condition that all disputes, claims and objections arising out of the racing or with respect to the interpretation of the conditions of any race shall be decided by the officers of the association, or those whom they may appoint and their decision upon all points shall be final.
- No entry shall be accepted from any stable not provided with a trainer's license.

**Main Track Only Entries**

- Entries will be accepted in turf races (excluding stakes) for Main Track Only (MTO) horses.
- Trainers must declare the horse as MTO at the time of entry.
- A horse entered as MTO shall be listed as Also-Eligible.
- In an over-filled turf race, Keeneland reserves the right to designate two Main Track Only (MTO) positions on the Also-Eligible List. Also-Eligibles (including MTO) shall be assigned a post position by preference. If preference is equal, post positions shall be drawn by lot, unless otherwise stipulated in the published conditions of the race.
- If the race remains on the turf, a horse listed as MTO shall not be permitted to draw into the race. When a race is removed from the turf, MTO horses will lose any date they have if they run or scratch. If the race remains on the turf, MTO horses will retain their previous date.



**Andy Beshear**  
GOVERNOR

**Ray A. Perry**  
SECRETARY

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

**PUBLIC PROTECTION CABINET**  
**Kentucky Horse Racing**  
**Commission**

**Jamie Eads**  
EXECUTIVE DIRECTOR

4063 Iron Works Parkway, Building B  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing Commission

FROM: PJ Cooksey, State Judge

DATE: February 9, 2023

RE: **WKY DEVELOPMENT, LLC'S PROPOSED 2023 SPRING RACE MEET OFFICIALS**

---

By letter dated February 3, 2023, Darren VanDover, General Manager of WKY Development, LLC (Oak Grove), requests approval of the attached roster of Racing Officials for its 2023 Spring Race Meet. Please be advised all judges have been accredited by Racing Officials Accreditation Program (ROAP) and all officials will be licensed by Kentucky Horse Racing Commission.

KHRC staff recommends approval.

COMMISSION ACTION

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer

\_\_\_\_\_ Deny



February, 3rd 2023  
Ms. Jamie Eads  
Interim Executive Director  
Kentucky Horse Racing Commission  
4062 Iron Works Parkway  
Lexington, KY 42262

Ms. Eads,

Oak Grove Racing, Gaming & Hotel respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Racing Officials for our upcoming meet:

General Manager:	Darren VanDover
Racing Manager:	Cara Collins
Associate Steward:	TBD
Racing Secretary/ Program Coordinator:	Kevin Mack
Starter:	Roy Finn
Assistant Race Secretary/ Clerk:	Janice Lance
Charter:	TBD
Paddock Judge:	Mike Stone
Horse Identifier:	Pam Coleman
Timer and Photo Finish:	Casey Ages
Mutuals Manager:	Karen Autry
Director of Security:	Joe Guenther
Track Superintendent:	Gary Mills
Track Announcer:	TBD
Gate Driver/Paddock Blacksmith:	Marvin Pryor
Outrider:	Dwayne Wells

As always, Oak Grove Racing and Gaming shall be in compliance with all applicable state and federal laws. Thank you for your time in considering this matter.

Very Much Appreciated,

Darren VanDover  
General Manager

Cara Collins  
Racing and Equine Manager

777 Winners Way | Oak Grove, Kentucky 42262  
(270) 713-2517 | (270) 984-4310



2022 TB RULINGS LIST BY CATEGORY ( Through December 31, 2022)

MEDICATION VIOLATIONS

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	2	2	2	2	0	Venlafaxine, D-Methamphetamine
Class B	4	4	4	4	0	Metformin, Gabapentin, HEPS
Class C	4	4	2	4	1	Betamethasone, Dexamethasone, Phenylbutazone (suspension for 2nd offense)
Class D	0	0	0	0	0	
NSAIDS	0	0	0	0	0	
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	10	\$13,500				

NON-MEDICATION VIOLATIONS

Jockey Violations

Careless Riding	11	0	11	0	1	Routine riding offense
Crop Violations	82	60	22	0	0	1st offense given choice of fine or suspension
Other Disciplinary Violations	6	5	1	0	0	Failed to report to race review, Eased up without adequate cause, foul riding
Subtotal	99	\$33,800				

Other Licensee Violations

Misc. violations by licensees	5	5	0	0	0	Jockey agent failed to honor calls, Improper signature on claim slip, improper scratch, prohibited electronic treatment
Disorderly conduct and formal ejections	8	0	8	0	0	Revoked (2) - suspended in another jurisdiction, failed to report arrest history, SPA - formal ejection from Keeneland, criminal charges, failed to cooperate during OOC testing, terroristic threatening, failed human drug test
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	13	\$2,400				

<b>Other Rulings Issued</b>	19	0	0	0	0	The remaining 19 rulings that have been issued in 2022 are for information only and include previously assessed penalties vacated and purse redistribution, claim voided, purse redistribution, withdrawal of appeal, denial of formal protest, license type change, licensee not qualified to perform duties (license rescinded), settlement agreement
<b>TOTAL 2022 RULINGS</b>	<u>141</u>	\$49,700				

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0001	1/9/2022	1/7/2022	Joseph S. Rocco, Jr.	careless riding		Suspended 3 days January 16, 21 and 22, 2022		Suspension served
22-0002	1/14/2022	11/14/2019	Joe Sharp	KHRC declassified Levamisole in 2015		Previously assessed penalties to Sharp are vacated		All records updated
22-0003	1/25/2022	4/28/2021	Wesley A. Ward	Metformin #E418071	B	Suspended 30 days, 15 to be served January 26 through February 9, 2022 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served FinePaid
22-0004	1/25/2022	4/28/2021	Gatewood Bell (owner)	Metformin #E418071	B	Averly Jane disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0005	2/2/2022	1/15/2022	Ronald Bryan DeWolf (owner)	Certificate of Analysis received from UK-EACL		Claimant Tom Campbell opted to void claim and return horse to previous owner		Claim voided pursuant to 810 KAR 4:050 Section 1, (18)(b)
22-0006	2/12/2022	2/11/2022	Gabriel Lagunes	Violated crop regulation		Suspended 3 days February 16 through February 18, 2022 (inclusive)		Suspension served
22-0007	2/12/2022	2/11/2022	Gerardo Corrales	Violated crop regulation		Fined	\$ 500	Paid
22-0008	2/17/2022	2/12/2022	Javier Tavares	Violated crop regulation		Suspended 3 days February 24 through February 26, 2022 (inclusive)		Suspension served

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0009	2/21/2022	5/1/2021	Robert A. Baffert	Betamethasone #E427258	C	Suspended 90 days March 8 through June 5, 2022 (inclusive)	\$ 7,500	Suspension served Fine Paid - APPEAL STILL PENDING
22-0010	2/21/2022	5/1/2021	Amr Zedan (owner)	Betamethasone #E427258	C	Medina Spirit disqualified and purse redistributed. All purse monies must be returned to the association.		APPEALED
22-0011	2/21/2022	2/16/2022	Gregory Romero	Violated crop regulation		Suspended 3 days February 24 through February 26, 2022 (inclusive)		Suspension served
22-0012	2/21/2022	2/15/2022	Joe J. Talamo	Violated crop regulation		Fined	\$ 500	Paid
22-0013	2/21/2022	2/18/2022	Perry W. Ouzts	Violated crop regulation		Suspended 3 days February 19, February 23 and February 24, 2022		Suspension served
22-0014	2/21/2022	2/18/2022	Julio E. Felix	Violated crop regulation		Fined	\$ 500	Paid
22-0015	2/21/2022	2/18/2022	Joseph S. Rocco, Jr.	Violated crop regulation		Fined	\$ 500	Paid
22-0016	2/21/2022	2/19/2022	Andres P. Ulloa	Violated crop regulation		Suspended 3 days February 23 through February 25, 2022 (inclusive)		Suspension served
22-0017	2/21/2022	2/19/2022	Jeffrey N. Perrin	Failed to honor calls		Fined	\$ 200	Paid
22-0018	2/26/2022	2/23/2022	Abel Lezcano	Violated crop regulation		Suspended 3 days March 2 through March 4, 2022 (inclusive)		Suspension served

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0019	2/26/2022	11/4/2021	James E. Baker	Metformin #E490742	B	Suspended 30 days, 15 to be served March 1 through March 15, 2022 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served Fine Paid
22-0020	2/26/2022	11/4/2021	Joseph F. Lamb	Metformin #E490742	B	Fed Money disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0021	3/2/2022	2/26/2022	Edward T. Baird	Violated crop regulation		Suspended 3 days March 3 through March 5, 2022 (inclusive)		Suspension served
22-0022	3/2/2022	2/26/2022	Edgar Morales	Violated crop regulation		Fined	\$ 500	Paid
22-0023	3/3/2022	3/2/2022	Santiago Gonzalez	Violated crop regulation		Fined	\$ 500	Paid
22-0024	3/3/2022	3/2/2022	Emmanuel Esquivel	Violated crop regulation		Fined	\$ 500	Paid
22-0025	3/4/2022	3/3/2022	Rogelio Miranda	Violated crop regulation		Fined	\$ 500	Paid
22-0026	3/12/2022	11/26/2021	Grant T. Forster	Gabapentin #E491165	B	Suspended 30 days, 15 to be served March 28 through April 11, 2022 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served Fine Paid

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0027	3/12/2022	11/26/2021	David A. Mowat	Gabapentin #E491165	B	Epicurean disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0028	3/19/2022	3/17/2022	Javier Tavares	Violated crop regulation		Fined	\$ 500	Paid
22-0029	3/25/2022	3/19/2022	Chris M. Landeros	Violated crop regulation		Fined	\$ 500	Paid
22-0030	3/25/2022	3/4/2022	Fergus Bogle	Phenylbutazone #R000335	C	Fined	\$ 500	Paid
22-0031	3/25/2022	3/4/2022	Fergus Bogle (owner)	Phenylbutazone #R000335	C	T J Munnings disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0032	4/1/2022	3/31/2022	Andres P. Ulloa	Violated crop regulation		Fined	\$ 500	Paid
22-0033	4/2/2022	4/2/2022	Edwin A. Maldonado	Violated crop regulation		Fined	\$ 500	Paid
22-0034	4/2/2022	4/2/2022	Alice Beckman	Violated crop regulation		Suspended 3 days April 8 through April 10, 2022 (inclusive)		Suspension served
22-0035	4/2/2022	4/2/2022	Williams Naupac	Violated crop regulation		Suspended 3 days April 8 through April 10, 2022 (inclusive)		Suspension served
22-0036	4/2/2022	4/2/2022	Gerardo Corrales	Failure to be appear for race replay		Fined	\$ 100	Paid
22-0037	4/2/2022	4/1/2022	Gerardo Corrales	Easing up without adequate cause		Fined	\$ 1,000	Paid
22-0038	4/10/2022	4/8/2022	Luis Contreras	Violated crop regulation		Fined	\$ 500	Paid
22-0039	4/10/2022	4/9/2022	Brian J. Hernandez, Jr.	Violated crop regulation		Fined	\$ 500	Paid

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0040	4/13/2022	4/8/2022	Robert B, Hess, Jr.	Violated claiming regulation		Fined	\$ 1,000	Paid
22-0041	4/13/2022	4/10/2022	Jack Gilligan	Violated crop regulation		Suspended 3 days April 14 through April 16, 2022 (inclusive)		Suspension served
22-0042	4/15/2022	4/15/2022	Joe A. Bravo	Violated crop regulation		Suspended 3 days April 20 through April 22, 2022 (inclusive)		Suspension served
22-0043	4/16/2022	3/31/2022	Arnulfo Rangel	Dexamethazone #R001696	C	Fined	\$ 500	Paid
22-0044	4/16/2022	3/31/2022	Arnulfo Rangel (owner)	Dexamethazone #R001696	C	Willymar disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0045	4/20/2022	4/16/2022	Rafael Bejarano	Violated crop regulation		Fined	\$ 500	Paid
22-0046	4/23/2022	4/20/2022	Rafael Bejarano	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0047	4/23/2022	4/20/2022	Joe J. Talamo	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0048	4/23/2022	4/21/2022	Rene Diaz	Violated crop regulation		Fined	\$ 500	Paid
22-0049	4/23/2022	4/22/2022	Jesus L. Castanon	Violated crop regulation		Suspended 3 days April 24, April 27 and April 28, 2022		Suspension served
22-0050	4/27/2022	4/23/2022	Gregory Romero	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0051	4/27/2022	4/24/2022	Tyler S. Gaffalione	Violated crop regulation		Fined	\$ 500	Paid
22-0052	4/27/2022	4/24/2022	Decan Cannon	Violated crop regulation		Suspended 3 days April 30, May 4 and May 4, 2022		Suspension served
22-0053	4/27/2022	4/24/2022	Gerardo Corrales	Violated crop regulation (2nd)		Fined	\$ 500	Paid

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0054	4/27/2022	4/24/2022	Gregory Romero	Violated crop regulation (3rd)		Suspended 4 days May 11 through May 14, 2022 (inclusive)		Suspension not served - it was determined that Romero was suspended in another jurisdiction during these dates.
22-0055	4/29/2022	4/27/2022	Johan Rosado	Violated crop regulation		Fined	\$ 500	Paid
22-0056	5/2/2022	4/28/2022	Joseph S. Rocco, Jr.	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0057	5/4/2022	4/30/2022	Rene Diaz	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0058	5/5/2022	5/4/2022	Brian J. Hernandez, Jr.	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0059	5/6/2022	5/5/2022	Reyluis Gutierrez	Violated crop regulation		Fined	\$ 500	Paid
22-0060	5/11/2022	5/5/2022	Colby Hernandez	Violated crop regulation		Fined	\$ 500	Paid
22-0061	5/11/2022	5/6/2022	Manuel Franco	Violated crop regulation		Fined	\$ 500	Paid
22-0062	5/11/2022	5/6/2022	Manuel Franco	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0063	5/11/2022	5/7/2022	Mitchell Murrill	Violated crop regulation		Fined	\$ 500	Paid
22-0064	5/11/2022	5/7/2022	Jose L. Ortiz	Violated crop regulation		Fined	\$ 500	Paid
22-0065	5/11/2022	5/7/2022	Jose L. Ortiz	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0066	5/13/2022	5/12/2022	Irado Ortiz, Jr.	Failure to be appear for race replay		Fined	\$ 100	Paid
22-0067	5/13/2022	5/7/2022	Irado Ortiz, Jr.	Violated crop regulation		Fined	\$ 500	Paid
22-0068	5/13/2022	12/11/2021	Gerardo Corrales	Withdrew appeal of ruling #21-0096 issued December 11, 2022		Suspended 5 days May 19 through May 22 (inclusive) and May 26, 2022		Suspension served

## 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0069	5/19/2022	6/26/2021	Brad H. Cox	Phenylbutazone #R454454	C	Suspended 10 days May 23 through June 1, 2022 (inclusive)	\$ 1,500	Suspension served - Fine Paid
22-0070	5/19/2022	6/26/2021	Marshall Gramm and Sol Kumin (owners)	Phenylbutazone #R454454	C	Warrior's Charge disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0071	5/15/2022	5/13/2022	Joseph S. Rocco, Jr.	Violated crop regulation (3rd)		Fined	\$ 500	Paid
22-0072	5/15/2022	5/13/2022	James Graham	Violated crop regulation		Fined	\$ 500	Paid
22-0073	5/20/2022	5/15/2022	Eder Martinez	Violated crop regulation		Suspended 3 days May 27 through May 29, 2022 (inclusive)		Suspension served
22-0074	5/21/2022	5/20/2022	Isaias Ayala	Violated crop regulation		Suspended 3 days May 27 through May 29, 2022 (inclusive)		Suspension served
22-0075	5/21/2022	5/20/2022	Jack Gilligan	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0076	5/21/2022	5/19/2022	Paul McEntee	Violated scratch regulation		Fined	\$ 500	Paid
22-0077	5/22/2022	5/21/2022	Joseph S. Rocco, Jr.	Violated crop regulation (4th)		Fined	\$ 1,000	Paid
22-0078	5/22/2022	5/21/2022	Corey J. Lanerie	Violated crop regulation		Fined	\$ 500	Paid
22-0079	5/22/2022	5/21/2022	Colby Hernandez	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0080	5/26/2022	5/22/2022	Rene Diaz	Violated crop regulation (3rd)		Fined	\$ 500	Paid
22-0081	5/26/2022	5/22/2022	Santo Sanjur	Violated crop regulation		Fined	\$ 500	Paid
22-0082	5/26/2022	5/22/2022	Joe J. Talamo	Violated crop regulation (3rd)		Fined	\$ 500	Paid
22-0083	5/26/2022	5/22/2022	Chris M. Landeros	Violated crop regulation (2nd)		Fined	\$ 500	Paid



### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0084	5/28/2022	5/2/2022	Tyler S. Gaffalione	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0085	5/28/2022	5/26/2022	Tiago J. Pereira	Violated crop regulation		Suspended 3 days May 30, June 2 and June 3, 2022		Suspension served
22-0086	5/30/2022	5/29/2022	Martin Garcia	Violated crop regulation		Fined	\$ 500	Paid
22-0087	6/2/2022	11/5/2020	Mario Rodriguez	Falsification of license application - suspended in another jurisdiction		License REVOKED		Denied privileges of KHRC locations
22-0088	6/2/2022	5/30/2022	Jason D. Ashby	Falsification of license application - failed to reveal entire arrest record		License REVOKED		Denied privileges of KHRC locations
22-0089	6/2/2022	5/30/2022	Tyler S. Gaffalione	Violated crop regulation (3rd)		Fined	\$ 500	Paid
22-0090	6/4/2022	6/3/2022	Rene Diaz	Violated crop regulation (4th)		Fined	\$ 1,000	Paid
22-0091	6/4/2022	6/3/2022	Reyluis Gutierrez	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0092	6/4/2022	6/3/2022	Martin Garcia	Violated crop regulation (2nd)		Fined	\$ 500	Paid
22-0093	6/5/2022	6/5/2022	Francisco Arrieta	Failure to be appear for race replay		Fined	\$ 100	Paid
22-0094	6/5/2022	6/4/2022	Francisco Arrieta	Violated crop regulation		Fined	\$ 500	Paid
22-0095	6/5/2022	6/4/2022	Luis Saez	Violated crop regulation		Fined	\$ 500	Paid
22-0096	6/5/2022	6/4/2022	John Velazquez	Violated crop regulation		Fined	\$ 500	Paid
22-0097	6/8/2022	6/5/2022	Ricardo Santana, Jr.	Violated crop regulation by causing breaks in the skin		Fined	\$ 500	Paid
22-0098	6/8/2022	5/27/2022	Nick N. Bush	Disorderly conduct - Formal ejection from Keeneland		Suspended pending appearance		Current suspension

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0099	6/10/2022	6/8/2022	Carlos Villasana	Violated crop regulation		Fined	\$ 500	Paid
22-0100	6/10/2022	6/8/2022	Jack Gilligan	Violated crop regulation (3rd)		Suspended 3 days June 15 through June 17, 2022 (inclusive)		Suspension served
22-0101	6/11/2022	6/11/2022	Jose Santos, Jr.	failure to honor calls		Fined	\$ 200	Paid
22-0102	6/11/2022	6/10/2022	Rene Diaz	violated crop regulation (5th)		Suspended 3 days June 17 through June 19, 2022 (inclusive)		Suspension served
22-0103	6/11/2022	6/10/2022	Adam Beschizza	violated crop regulation		Fined	\$ 500	Paid
22-0104	6/16/2022	6/12/2022	Rafael Mojica, Jr.	violated crop regulation		Suspended 3 days June 24 through June 26, 2022 (inclusive)		Suspension served
22-0105	6/19/2022	6/17/2022	Agustin Gomez	violated crop regulation		Suspended 3 days June 24 through June 26, 2022 (inclusive)		Suspension served
22-0106	6/23/2022	6/18/2022	Chase M Miller	violated crop regulation		Fined	\$ 500	Paid
22-0107	6/23/2022	6/18/2022	Rafael Bejarano	violated crop regulation		Fined	\$ 500	Paid
22-0108	6/23/2022	6/18/2022	David L. Cohen	violated crop regulation		Fined	\$ 500	Paid
22-0109	6/23/2022	6/19/2022	Florent Geroux	careless riding		Suspended 3 days June 26, June 29 and June 30, 2022		Suspension served
22-0110	6/23/2022	6/22/2022	Rene Diaz	careless riding		Suspended 3 days July 2 through July 4, 2022 (inclusive)		Suspension served
22-0111	6/25/2022	6/19/2022	Carlos Villasana	violated crop regulation		Fined	\$ 500	Paid
22-0112	6/25/2022	6/24/2022	Decan Cannon	violated crop regulation (2nd)		Suspended 3 days June 26, June 29 and June 30, 2022		Suspension served
22-0113	6/29/2022	6/24/2022	George Veloudis	Protest of claim was without merit.		Protest denied		No action

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0114	7/2/2022	6/30/2022	Chel-c Bailey	violated crop regulation		Fined	\$ 500	Paid
22-0115	7/3/2022	6/24/2022	Tiffney Veloudis	Protest of claim was without merit.		Protest denied		No action
22-0116	7/15/2022	6/30/2022	William Marin-Suchite	Criminally charged on June 30, 2022		Suspended pending appearance		Current suspension
22-0117	7/17/2022	7/10/2022	Reyluis Gutierrez	careless riding		Suspended 3 days July 29 through July 31, 2022 (inclusive)		APPEALED (Appeal withdrawn October 30, 2022 and 5 day suspension served November 6 and November 9 through November 12, 2022 (inclusive))
22-0118	8/7/2022	1/15/2022	Jeffrey L. Greenhill	Venlafaxine #496910	A	Suspended 45 days, 20 to be served August 12 through August 31, 2022 (inclusive). (Remaining 25 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served - Fine Paid
22-0119	8/7/2022	1/15/2022	Ronald Bryan DeWolf (owner)	Venlafaxine #496910	A	De Bolt Light disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0120	8/7/2022	11/5/2021	Norman L. Cash	D-Methamphetamine #496505	A	Suspended 45 days, 20 to be served August 27 through September 15, 2022 (inclusive). (Remaining 25 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served - Fine Paid

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0121	8/7/2022	11/5/2021	Norman L. and Lola Cash (owners)	D-Methamphetamine #496505	A	Matt's Honey disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
22-0122	8/19/2022	7/31/2022	C. Steve Larue	Failure to fully cooperate during OOC test		Suspended 30 days August 30 through September 28, 2022 (inclusive)		Suspension served
22-0123	9/12/2022	9/8/2022	Joel Rosario	careless riding		Suspended 3 days September 18, September 22 and September 22, 2022		Suspension served
22-0124	9/14/2022	9/10/2022	Chris A. Hartman	Prohibited electronic treatment		Fined	\$ 500	Paid
22-0125	10/1/2022	9/29/2022	Keith L. York	Disorderly conduct (terroristic threatening) - Formal ejection from Ellis Park		Suspended pending appearance before Stewards		Current suspension
22-0126	10/1/2022	10/1/2022	Jerry V. Dixon	No longer working as Assistant Trainer		Rescind license		Issued Stable Employee license
22-0127	10/2/2022	10/1/2022	Sonny R. Leon	Foul riding		Suspended 15 days October 9, 12, 13, 14, 15, 16, 19, 20, 21, 22, 23, 26, 27, 28 and October 29, 2022		Suspension served
22-0128	10/8/2022	10/7/2022	Carlos Villasana	Failed drug test. Positive test for cocaine reported 10/7/2022		Suspended pending evaluation by a counselor approved by KHRC and submission of negative drug test		Current suspension
22-0129	10/9/2022	10/7/2022	Joel Rosario	careless riding		Suspended 3 days October 19 through October 21, 2022 (inclusive)		Suspension served

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0130	10/9/2022	10/7/2022	Jose L. Ortiz	careless riding		Suspended 3 days October 19 through October 21, 2022 (inclusive)		Suspension served
22-0131	10/9/2022	10/7/2022	Irad Ortiz, Jr.	careless riding		Suspended 3 days October 19 through October 21, 2022 (inclusive)		Suspension served
22-0132	10/30/2022	7/17/2022	Reyluis Gutierrez	Withdrew appeal of ruling #22-0117 issued July 17, 2022		Suspended 5 days November 6 and November 9 through November 12, 2022 (inclusive)		Suspension served
22-0133	11/3/2022	10/30/2022	Ricardo Santana, Jr.	careless riding		Suspended 5 days November 6 and November 9 through November 12, 2022 (inclusive)		Suspension served
22-0134	11/19/2022	11/19/2022	Christian J. Lopez	unqualified to perform duties of exercise rider		Exercise Rider license rescinded		Completed
22-0135	11/20/2022	6/4/2022	Claude R. McGaughey III	HEPS #R020613	B	Suspended 30 days, 15 to be served December 5 through December 19, 2022 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served Fine Paid
22-0136	11/20/2022	6/4/2022	Estate of Thomas Ryan Diana L. Ryan, extx (owner)	HEPS #R020613	B	Smokin T disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed

### 2022 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
22-0137	12/1/2022	11/29/2022	Pablo Teutla	Pending criminal charges (drug trafficking) - Formal ejection from Turfway Park		Suspended pending appearance before Stewards		Current suspension
22-0138	12/1/2022	11/15/2017	Lee J. Rossi	Pursuant to Settlement Agreement and Stipulation (#FCC-21-CI-00876)		Suspended 45 days, 20 to be served December 11 through December 30, 2022 (inclusive). (Remaining 25 days stayed pending no further medication violation in 365 days) OUR BERNATETTE DQ'd (Churchill Downs November 15, 2017)	\$ 500	Suspension served - Fine Paid - DQ and purse redistribution completed
22-0139	12/11/2022	12/9/2022	Gerardo Corrales	Easing up without adequate cause while aboard STEEL HAWK in race 1 at Turfway Park December 9, 2022		Fined	\$ 1,500	Fine Paid
22-0140	12/14/2022	12/2/2022	Gerardo Corrales	careless riding		Suspended 3 days December 21 through December 23, 2022 (inclusive)		Suspension served
22-0141	12/28/2022	12/16/2022	Decan Cannon	careless riding		Suspended 3 days January 4 through January 6, 2023 (inclusive)		Suspension served

**2023 TB RULINGS LIST BY CATEGORY ( Through February 13, 2023 )**

**MEDICATION VIOLATIONS**

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	0	0	0	0	0	
Class B	0	0	0	0	0	
Class C	2	2	1	2	0	Methocarbamol, Phenylbutazone (suspension for 2nd offense)
Class D	0	0	0	0	0	
NSAIDS	0	0	0	0	0	
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	2	\$1,500				

**NON-MEDICATION VIOLATIONS**

**Jockey Violations**

Careless Riding	3	0	3	0	1	Routine riding offense
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	0	0	0	0	0	
Subtotal	3					

**Other Licensee Violations**

Misc. violations by licensees	0	0	0	0	0	
Disorderly conduct and formal ejections	0	0	0	0	0	
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	0					

Other Rulings Issued	3	0	0	0	0	The remaining 3 rulings that have been issued in 2023 are for information only and include purse redistribution and licensee reinstatement to good standing
<b>TOTAL 2023 RULINGS</b>	<b>8</b>	<b>\$1,500</b>				

## 2023 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
23-0001	1/4/2023	12/31/2022	David J. Haldar	careless riding		Suspended 3 days January 11 through January 13, 2023 (inclusive)		Suspension served
23-0002	1/19/2023	6/14/2018	Elmer E. Todd	Formal ejection from Keeneland lifted		Restored to good standing		Eligible for license
23-0003	1/19/2023	12/30/2022	John Steve Cannon	Methocarbamol #R004789	C	Fined	\$ 500	Fine Not Paid
22-0004	1/19/2023	12/30/2022	John Steve Cannon (owner)	Methocarbamol #R004789	C	Redhot Katie disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0005	2/1/2023	1/19/2023	Eric R. Reed	Phenylbutazone #R005087	C	Suspended 5 days February 10 through February 14, 2023 (inclusive)	\$ 1,000	Suspension served - Fine Not Paid
23-0006	2/1/2023	1/19/2023	Jackie A. Willoughby, Jr. (owner)	Phenylbutazone #R005087	C	Golden Text disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
23-0007	2/2/2023	1/25/2023	Walter A. Rodriguez	careless riding		Suspended 3 days February 15 through February 17, 2023 (inclusive)		APPEALED
23-0008	2/3/2023	1/28/2023	Javier Padron-Barcenas	careless riding		Suspended 3 days February 8 through February 10, 2023 (inclusive)		Suspension served



.....STANDARD BREED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	PAID	Suspension (days)	COMMENTS
J2022-001	05/16/22	05/09/22	Archie N Buford	Unsatisfactory drive - Lack of effort		\$500		Paid	8 days (05/17/2022 - 05/24/2022)	
J2022-002	05/16/22	05/08/22	Kevin W Wallis	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-003	05/22/22	05/16/22	Alessandro Spano	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-004	05/22/22	05/16/22	Tyler G Shehan	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-005	05/23/22	05/22/22	Todd E Warren	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-006	05/23/22	05/22/22	Derrick J Flowers	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-007	05/29/22	05/28/22	Charles M Conrad	Brutal use of whip		\$500		Paid	5 days (05/31/2022 - 06/04/2022)	

J2022-008	05/29/22	05/23/22	Richard S Finn	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-009	05/30/22	05/28/22	Tyler G Shehan	Offensive/Profane language		\$100		Paid		
J2022-010	05/30/22	05/23/22	Alessandro Spano	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-011	06/06/22	05/30/22	Kevin W Wallis	Failure to set and maintain a proper pace		\$100		Paid		
J2022-012	06/06/22	06/05/22	Clifton A Bell	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-013	06/06/22	05/30/22	Don R Cromer	Interference/causing another horse to make a break		\$500	Withdrew Appeal: Final Ruling Issued	Paid	30 days (06/11/2022 - 07/10/2022)	
J2022-014	06/08/22	02/21/22	Dean M Eckley	Other			License Denied			KHRC License Review Committee denied the 2022 license application of Dean Eckley. Eligible to reapply in 2023.
J2022-015	07/03/22	06/29/22	S Bernard Demars	Excessive or indiscriminate use of whip		\$100		Paid		

J2022-016	07/10/22	05/22/22	Derrick J Flowers	Failure to pay fine(s)				Paid	Until fine is paid
J2022-017	07/10/22	06/27/22	Nicolas Roussel	Excessive or indiscriminate use of whip		\$200		Paid	
J2022-018	07/12/22	03/02/22	Lefredrick Cole	Falsification of license application			License Revoked		
J2022-019	07/18/22	06/27/22	Kevin W Wallis	Kicking a horse		\$100		Paid	
J2022-020	08/02/22	05/28/22	Donald C Coy	Excessive or indiscriminate use of whip		\$500		Paid	5 days (08/03/2022 - 08/07/2022)
J2022-021	08/07/22	08/02/22	Peter M Wrenn	Inside the euro rail / pylon violation		\$200		Unpaid	
J2022-022	08/16/22	08/07/22	Dexter J Dunn	Talking on the racetrack		\$100		Paid	
J2022-023	08/22/22	08/07/22	Yannick Gingras	Talking on the racetrack		\$100		Paid	
J2022-024	08/22/22	08/15/22	Devon J Tharps	Laying back in sulky		\$100		Paid	
J2022-025	09/11/22	08/07/22	Myron Bell	Conduct detrimental to the best interest of horse racing		\$1,000	Placed on probation for remainder of 2022 through and including 2023.	Paid	

J2022-026	09/19/22	06/08/22	Dean Eckley	Ruling J2022-014 is recinded. Allowed to withdraw 2022 license application, therefore the application is no longer denied.						
J2022-027	09/27/22	09/12/22	Jamaal D Denson	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-028	09/27/22	09/19/22	Andy Ray Miller	Excessive or indiscriminate use of whip		\$200		Paid		
J2022-029	09/27/22	09/06/22	Devon J Tharps	Kicking a horse		\$100		Paid		
J2022-030	10/31/22	09/19/22	Patricia Brewer	Blood sample R003519 collected from "Oberlin" on 09/19/22 in Race 11 at the Red Mile Racetrack, revealed the presence of TCO2 registering a level of 39.45ml/l.	Class 3	\$1,500		Unpaid	90 days 11/07/2022 2 - 02/04/2023 3	Purse money won or \$624.00 is hereby ordered forfeited, returned and redistributed in the following manner, Oberlin finished 4th placed unplaced--Big John Houn

										finished 5th placed 4th, Single Girl finished 6th placed 5th.
J2022-031	10/04/22	09/30/22	Andy Ray Miller	Second offense: Using other than wrist and elbow movement while whipping		\$500		Paid		
J2022-032	10/04/22	10/01/22	Joseph R Bongiorno	Taking hold while backing into position on pylons interfering with a trailing horse. Finished 4th placed 5th.		\$500		Paid		
J2022-033	10/31/22	09/19/22	Patricia Brewer	Blood sample R003520 collected from "Big John Houn" on 09/19/22 in Race 11 at the Red Mile Racetrack, revealed the presence of TCO2 registering a level of 40.25 ml/l.	Class 3	\$1,500		Unpaid	90 days 02/05/2022 - 05/05/2022	Purse money won or \$390.00 is hereby ordered forfeited, returned and redistributed as follows: Big John Houn finished 5th was placed

										4th through previous disqualification and is now unplaced. Single Girl finished 6th was placed 5th is placed 4th.
J2022-034	10/31/2022	9/29/2022	Patricia Brewer	Blood sample R003536 collected from "Tobie Lou" on 09/29/22 in Race 5 at the Red Mile Racetrack, revealed the presence of TCO2 registering a level of 39.05 ml/l.	Class 3					Tobie Lou raced prior to Patricia Brewer receiving notice from the testing laboratory of a previous TCO2 violation, and not obtaining purse money in this race, no further penalty will be assessed.
J2022-035	11/1/2022	10/30/2022	David S Miller	Using the whip in an unconventional manner		\$200		Paid		

J2022-036	11/2/2022	9/29/2022	Patricia Brewer	Blood sample R003539 collected from "Skyway Trooper" on 09/29/22 in Race 8 at the Red Mile Racetrack, revealed the presence of TCO2 registering a level of 38.05 ml/l.	Class 3					Skyway Trooper raced prior to Patricia Brewer receiving notice from the testing laboratory of a previous TCO2 violation, no further penalty will be assessed. However, Skyway Trooper who finished 5th is disqualified and placed last. The purse money of \$425.00 is forfeited, returned and redistributed to "Danish Diligence" who finished 6th and placed 5th.
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J2022-037	11/8/2022	10/18/2022 2	Leslie Burton	Blood sample R007688 collected from "Acoustic" on 10/18/22 in Race 8 at ECL Corbin at the Red Mile Racetrack, revealed the presence Dexamethasone in an amount exceeding that allowed by the KHRC.	Class 4	\$500	Paid	Purse money won or \$3900.00 is hereby ordered returned, forfeited and redistributed in the following manner. "Acoustic" finished 1st and is placed last. "Hello Rooster" finished 2nd and is placed 1st. "Best Bolt" finished 3rd and is placed 2nd. "Master Splinter" finished 4th and is placed 3rd. "So I Like It Dirty" finished 5th and is placed 4th. "Pick A Rock" finished 6th
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and is placed  
5th.

**KHRC Veterinary Report**  
**Turfway Park Holiday Meet 2022**

<b>Total Starts</b>	1547
Post Race Samples	342
tCO <sub>2</sub>	172
<b>Veterinary Scratches</b>	26
Pre-Race	18
On-Track	8
Soundness related	5
Gate/paddock Injury	3
<b>KHRC Veterinary List</b>	45
Scratches	26
Race Injuries/post race lame/test barn lame	15
EIPH	4
<b>Claimed horses</b>	134
<b>Voided Claims</b>	10
<b>Ambulance Runs</b>	9
<b>Racing Fatalities</b>	1
Musculo-skeletal	1
<b>Training Fatalities</b>	1
Musculo-skeletal	1
Gate injury	1

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (incompass)	Fatalities per 1,000 starts
2013	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22	16,242	1.35
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.06
	<b>TOTAL</b>	2	2	0	0	1	2	1	5	4	1	1	3	23		<b>1.42</b>
2014	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	14,460	1.11
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	<b>TOTAL</b>	2	2	0	0	3	0	1	1	1	3	3	0	16		<b>1.11</b>
2015	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	15,514	1.35
	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2		0.13
	<b>TOTAL</b>	3	1	1	0	3	3	1	2	3	3	3	1	23		<b>1.48</b>
2016	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	16,495	1.39
	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3		0.18
	<b>TOTAL</b>	0	2	0	4	4	5	2	0	1	2	5	1	26		<b>1.58</b>
2017	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18	15,032	1.20
	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2		0.13
	<b>TOTAL</b>	1	2	1	2	1	5	0	1	2	3	2	0	20		<b>1.33</b>
2018	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	15,049	2.13
	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4		0.27
	<b>TOTAL</b>	2	1	1	4	4	1	6	1	7	1	4	4	36		<b>2.39</b>
2019	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19	15,456	1.23
	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3		0.19
	<b>TOTAL</b>	0	1	1	4	1	2	0	2	1	5	2	3	22		<b>1.42</b>

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (incompass)	Fatalities per 1,000 starts
2020	Musculoskeletal	1	0	1	0	1	0	0	3	4	1	4	1	16	13,872	1.15
	Non-musculoskeletal	0	0	3	0	0	0	0	0	0	0	0	0	3		0.22
	<b>TOTAL</b>	1	0	4	0	1	0	0	3	4	1	4	1	19		<b>1.37</b>
2021	Musculoskeletal	2	1	0	0	1	2	1	1	4	3	2	2	19	14,676	1.29
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	<b>TOTAL</b>	2	1	0	0	1	2	1	1	4	3	2	2	19		<b>1.29</b>
2022	Musculoskeletal	0	1	0	1	2	1	2	2	0	1	3	0	13	15,913	0.82
	Non-Musculoskeletal	0	1	0	0	0	1	0	0	0	0	0	0	2		0.13
	<b>TOTAL</b>	0	2	0	1	2	2	2	2	0	1	3	0	15		<b>0.94</b>
2023	Musculoskeletal	1												1	1,356	0.74
	Non-Musculoskeletal	0												0		0
	<b>TOTAL</b>	1												1		<b>0.74</b>

PARI-MUTUEL WAGERING REPORTS

Pari-Mutuel Wagering for November 2022

Select Period - Month  
November 2022

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 128 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

On-Track Wagers on Live Horse Racing

	Licensee / Facility									Grand Total
	Churchill Downs Racetrack, LLC		ECL Corbin, LLC	Elle Entertainment, LLC	Keeneland Association, Inc.	Kentucky Downs, LLC	Livington Tris Breeders Association, LLC	Turfway Park, LLC	WKY Development, LLC	
	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Elle Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turfway Park Racetrack	Oak Grove Racetrack	
Race Dates	16	0	6	0	2	0	0	1	0	27
On-Track Handle	\$4,811,344		\$27,146	\$0	\$20,867,697	\$0	\$0	\$62,386	\$0	\$25,768,573
Return to Public	\$3,541,928		\$21,450	\$0	\$15,928,089	\$0	\$0	\$43,256	\$0	\$19,534,723
Commission	\$930,243		\$6,170	\$0	\$3,873,029	\$0	\$0	\$12,247	\$0	\$4,821,689
Settlement	\$330,010		(\$335)	\$0	\$1,050,977	\$0	\$0	\$6,648	\$0	\$1,383,500
Brookage	\$3,071		\$18	\$0	\$15,801	\$0	\$0	\$35	\$0	\$19,585
Minus Pools	(\$508)		(\$157)	\$0	(\$259)	\$0	\$0	\$0	\$0	(\$825)
Daily Average Live Handle	\$267,297		\$4,524	\$0	\$10,433,848	\$0	\$0	\$62,386	\$0	\$84,392
Backside Improvement Fund	\$24,057		\$0	\$0	\$104,338	\$0	\$0	\$312	\$0	\$128,707
Excise Tax	\$72,170		\$407	\$0	\$0	\$0	\$0	\$936	\$0	\$73,513
Thoroughbred Development Fund	\$36,085	\$0	\$0	\$0	\$0	\$0	\$0	\$468	\$0	\$36,553
Standardbred Development Fund	\$0		\$271	\$0	\$0	\$0	\$0	\$0	\$0	\$271
Equine Drug Research	\$4,811		\$27	\$0	\$0	\$0	\$0	\$62	\$0	\$4,901
Higher Ed Equine Trust	\$4,811		\$27	\$0	\$0	\$0	\$0	\$62	\$0	\$4,901
Equine Industry Programs	\$8,623		\$54	\$0	\$0	\$0	\$0	\$125	\$0	\$9,802
General Fund	\$16,840		\$27	\$0	\$0	\$0	\$0	\$218	\$0	\$17,085

Simulcast Wagers on Interstate and Intertrack Live Horse Racing

	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Elle Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turfway Park Racetrack	Oak Grove Racetrack	Grand Total
On-Track Handle (Simulcast)	\$1,958,254	\$33,100	\$105,877	\$912,304	\$2,290,102	\$810,281	\$1,437,291	\$1,019,039	\$171,060	\$8,737,298
Thoroughbred	\$1,937,743	\$32,894	\$100,807	\$856,773	\$2,285,922	\$746,723	\$0	\$997,091	\$144,009	\$7,101,960
Standardbred	\$10,245	\$42	\$4,315	\$51,405	\$0	\$42,642	\$1,423,856	\$11,899	\$24,517	\$1,568,720
Other Breeds	\$10,267	\$164	\$756	\$4,126	\$4,180	\$20,917	\$13,424	\$10,249	\$2,535	\$66,617
Excise Tax - Simulcast	\$29,374	\$0	\$0	\$13,885	\$34,352	\$12,154	\$0	\$15,286	\$0	\$104,850
Thoroughbred Development Fund	\$19,377	\$0	\$0	\$8,568	\$22,859	\$7,467	\$0	\$9,971	\$0	\$68,243
Standardbred Development Fund	\$102	\$0	\$0	\$514	\$0	\$426	\$0	\$117	\$0	\$1,160
Quarter Horse Development Fund	\$103	\$0	\$0	\$41	\$42	\$209	\$0	\$102	\$0	\$497
Equine Drug Research	\$979	\$0	\$0	\$456	\$1,145	\$405	\$0	\$510	\$0	\$3,495
Higher Education Equine Trust	\$979	\$0	\$0	\$456	\$1,145	\$405	\$0	\$510	\$0	\$3,495
Equine Industry Programs	\$490	\$0	\$0	\$228	\$573	\$203	\$0	\$255	\$0	\$1,747
General Fund	\$7,343	\$0	\$0	\$3,421	\$8,588	\$3,039	\$0	\$3,821	\$0	\$26,212

Pari-Mutuel Wagering for November 2022

Month, Year of Racedate  
November 2022

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

On-Track Wagers on Historical Horse Racing

	Licensee / Facility									Grand Total
	Churchill Downs Racetrack, LLC	ECL Corbin, LLC	Elle Entertainment, LLC	Kentucky Downs, LLC	Lexington Thru Breeders Association, LLC	Turfway Park, LLC	WHY Development, LLC			
	Derby City Gaming	Williamsburg	Henderson	Bowling Green	Franklin	Red Mile	Hooper	Turfway Park	Oak Grove	
On-Track Handle	\$164,998,034	\$27,022,810	\$16,674,447	\$36,871,516	\$140,422,495	\$86,387,067	\$21,950,607	\$33,284,830	\$127,486,888	\$635,098,693
Return to Public	\$150,328,864	\$24,120,566	\$15,184,683	\$33,509,823	\$128,269,962	\$81,012,737	\$19,786,320	\$30,009,955	\$117,148,208	\$579,378,118
Pool Growth	(\$24,579)	\$252,114	\$53,886	(\$72,625)	\$136,036	(\$273,556)	\$100,420	\$57,835	(\$681,087)	(\$451,555)
Breakage	\$0	\$556	\$1,894	\$300	\$4,934	\$8,346	\$0	\$0	\$0	\$16,030
Gross Commission - Win	\$14,693,748	\$2,643,574	\$1,433,983	\$3,434,017	\$12,011,562	\$5,639,541	\$2,063,866	\$3,217,040	\$11,018,768	\$56,156,101
Excise Tax - HHR	\$2,474,971	\$405,342	\$250,117	\$553,073	\$2,106,337	\$995,806	\$329,259	\$499,272	\$1,912,303	\$9,526,480
Standardbred Development Fund	\$0	\$270,228	\$0	\$0	\$0	\$331,935	\$0	\$0	\$637,434	\$1,239,598
Thoroughbred Development Fund	\$1,237,485	\$0	\$125,068	\$276,536	\$1,053,169	\$248,852	\$164,630	\$249,636	\$478,076	\$3,833,541
General Fund and Other	\$1,237,485	\$135,114	\$125,068	\$276,536	\$1,053,169	\$414,919	\$164,630	\$249,636	\$798,793	\$4,453,341
Adjusted Commission - Win	\$12,218,778	\$2,238,232	\$1,183,867	\$2,880,945	\$9,905,225	\$4,643,735	\$1,734,607	\$2,717,768	\$9,106,465	\$46,629,621
Average Terminal Count	891	443	318	448	1,038	620	450	838	1,365	6,710
All Commission-Win/Unit	\$12,333	\$5,051	\$3,721	\$6,435	\$9,545	\$5,664	\$3,858	\$3,245	\$6,670	\$6,949

Advance Deposit Account Wagering

	Licensee								Grand Total
	AmWest Entertainment	eBet Technologies	Lean Games Racing	NYRABets	TVG	Twinspires	WatchdogWager.com	Xpressbet	
Total Handle	\$203,573	\$60,410	\$112,103	\$637,785	\$10,147,027	\$19,081,313	\$47,487	\$3,618,869	\$34,108,567
In-State Races	\$32,864	\$12,675	\$13,286	\$444,082	\$5,217,749	\$12,047,601	\$27,627	\$2,426,647	\$20,221,942
Out-of-State Races	\$170,710	\$47,736	\$98,806	\$393,702	\$4,929,280	\$7,033,712	\$20,860	\$1,192,221	\$13,886,625
Excise Tax - ADW	\$3,054	\$906	\$1,682	\$12,567	\$152,205	\$286,220	\$712	\$54,283	\$511,629
Host Track - Purses	\$1,298	\$385	\$715	\$5,341	\$64,687	\$121,643	\$303	\$23,070	\$217,442
Host Tracks	\$1,298	\$385	\$715	\$5,341	\$64,687	\$121,643	\$303	\$23,070	\$217,442
General Fund	\$458	\$136	\$252	\$1,885	\$22,831	\$42,933	\$107	\$8,142	\$76,744

Total Distributions by Account

Total Excise Tax	\$10,210,472
Equine Drug Research	\$8,396
Equine Industry Program	\$11,549
Higher Education Program	\$8,396
K. Standardbred Development Fund	\$1,241,029
K. Thoroughbred Development Fund	\$3,938,337
K. Quarter Horse Development Fund	\$497
Host Track - Purses	\$217,442
Host Tracks	\$217,442
General Fund (See Note on Capk)	\$4,573,383
Backside Improvement Fund	\$128,707

**Pari-Mutuel Wagering for December 2022**

Select Period - Month  
December 2022

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

**On-Track Wagers on Live Horse Racing**

	Licenses / Facility									Grand Total
	Churchill Downs Racetrack, LLC		ECL Corbin, LLC	Elle Entertainment, LLC	Kooneiland Association, Inc.	Kentucky Downs, LLC	Livingston Tross Breeders Association, LLC	Turway Park, LLC	WKY Development, LLC	
	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Elle Park Racetrack	Kooneiland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turway Park Racetrack	Oak Grove Racetrack	
Race Dates	0	0	0	0	0	0	0	17	0	17
On-Track Handle	\$0		\$0	\$0	\$0	\$0	\$0	\$888,918	\$0	\$888,918
Return to Public	\$0		\$0	\$0	\$0	\$0	\$0	\$641,856	\$0	\$641,856
Commission	\$0		\$0	\$0	\$0	\$0	\$0	\$174,893	\$0	\$174,893
Sediment	\$0		\$0	\$0	\$0	\$0	\$0	\$81,795	\$0	\$81,795
Breakage	\$0		\$0	\$0	\$0	\$0	\$0	\$74	\$0	\$74
Minus Pools	\$0		\$0	\$0	\$0	\$0	\$0	(\$1)	\$0	(\$1)
Daily Average Live Handle								\$52,878		\$52,878
Backside Improvement Fund	\$0		\$0	\$0	\$0	\$0	\$0	\$4,495	\$0	\$4,495
Excise Tax	\$0		\$0	\$0	\$0	\$0	\$0	\$13,484	\$0	\$13,484
Thoroughbred Development Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$6,742	\$0	\$6,742
Standardbred Development Fund	\$0		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Equine Drug Research	\$0		\$0	\$0	\$0	\$0	\$0	\$899	\$0	\$899
Higher Ed Equine Trust	\$0		\$0	\$0	\$0	\$0	\$0	\$899	\$0	\$899
Equine Industry Programs	\$0		\$0	\$0	\$0	\$0	\$0	\$1,798	\$0	\$1,798
General Fund	\$0		\$0	\$0	\$0	\$0	\$0	\$3,146	\$0	\$3,146

**Simulcast Wagers on Interstate and Intertrack Live Horse Racing**

	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Elle Park Racetrack	Kooneiland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turway Park Racetrack	Oak Grove Racetrack	Grand Total
Off-Track Handle (Simulcast)	\$1,254,523	\$15,614	\$46,404	\$781,424	\$1,580,281	\$690,759	\$884,591	\$711,735	\$171,482	\$6,136,792
Thoroughbred	\$1,214,737	\$14,877	\$42,509	\$717,531	\$1,560,261	\$627,680	\$0	\$684,864	\$145,225	\$6,027,702
Standardbred	\$31,334	\$444	\$3,709	\$62,478	\$0	\$63,070	\$873,280	\$22,664	\$24,463	\$1,069,026
Other Breeds	\$8,452	\$293	\$187	\$1,415	\$0	\$12,445	\$11,311	\$4,187	\$1,775	\$40,064
Excise Tax - Simulcast	\$18,818	\$0	\$0	\$11,721	\$23,704	\$10,361	\$0	\$10,676	\$0	\$75,281
Thoroughbred Development Fund	\$12,147	\$0	\$0	\$7,175	\$15,803	\$6,277	\$0	\$6,849	\$0	\$48,251
Standardbred Development Fund	\$313	\$0	\$0	\$625	\$0	\$506	\$0	\$227	\$0	\$1,671
Quinner Horse Development Fund	\$85	\$0	\$0	\$14	\$0	\$124	\$0	\$42	\$0	\$265
Equine Drug Research	\$627	\$0	\$0	\$391	\$780	\$345	\$0	\$356	\$0	\$2,509
Higher Education Equine Trust	\$627	\$0	\$0	\$391	\$780	\$345	\$0	\$356	\$0	\$2,509
Equine Industry Programs	\$314	\$0	\$0	\$185	\$385	\$173	\$0	\$178	\$0	\$1,255
General Fund	\$4,704	\$0	\$0	\$2,930	\$5,926	\$2,590	\$0	\$2,669	\$0	\$18,820

Pari-Mutuel Wagering for December 2022

Month, Year of Race Date  
December 2022

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 128 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

On-Track Wagers on Historical Horse Racing

	Licensee / Facility									Grand Total
	Churchill Downs Race track, LLC	ECL Corbin, LLC	Elle Entertainment, LLC	Kentucky Downs, LLC	Lexington Trainers Association, LLC	Turway Park, LLC	WKY Development, LLC			
	Derby City Gaming	Williamsburg	Henderson	Bowling Green	Franklin	Red Mile	Newport	Turway Park	Oak Grove	
On-Track Handle	\$175,025,887	\$28,334,049	\$16,785,563	\$38,730,101	\$144,743,488	\$88,577,894	\$23,114,962	\$43,065,237	\$136,363,215	\$674,720,806
Return to Public	\$159,352,677	\$25,283,812	\$15,226,626	\$35,246,680	\$131,051,324	\$82,640,692	\$20,808,223	\$39,127,645	\$124,418,771	\$614,056,650
Pool Growth	\$46,082	\$272,208	\$78,656	(\$149,547)	\$309,390	\$65,313	\$145,112	(\$186,040)	(\$26,671)	\$554,502
Breakage	\$0	\$585	\$2,327	\$306	\$5,657	\$8,101	\$0	\$0	\$0	\$16,977
Gross Commission - Win	\$15,627,128	\$2,777,444	\$1,457,954	\$3,632,462	\$12,477,127	\$5,863,598	\$2,161,627	\$4,124,233	\$11,971,116	\$60,992,679
Excise Tax - HHR	\$2,625,388	\$425,011	\$251,483	\$580,952	\$2,171,152	\$1,028,655	\$348,724	\$645,988	\$2,045,448	\$10,120,812
Standardbred Development Fund	\$0	\$283,340	\$0	\$0	\$0	\$342,888	\$0	\$0	\$681,816	\$1,308,045
Thoroughbred Development Fund	\$1,312,694	\$0	\$125,742	\$290,476	\$1,089,576	\$257,166	\$173,362	\$322,994	\$511,362	\$4,079,372
General Fund and Other	\$1,312,694	\$141,670	\$125,742	\$290,476	\$1,089,576	\$428,611	\$173,362	\$322,994	\$852,270	\$4,733,395
Adjusted Commission - Win	\$13,001,740	\$2,352,433	\$1,206,470	\$3,051,511	\$10,305,975	\$4,834,924	\$1,814,902	\$3,478,245	\$9,925,668	\$49,971,867
Average Terminal Count	974	445	320	449	1,040	835	428	822	1,262	6,576
Adj. Commission-Win/Unit	\$13,350	\$5,284	\$3,769	\$6,799	\$9,914	\$5,787	\$4,228	\$4,231	\$7,866	\$7,599

Advance Deposit Account Wagering

	Licensee								Grand Total
	AmWest Entertainment	eBet Technologies	Lien Games Racing	NYRABets	TVG	Twispres	WatchandWager.com	Xpressbet	
Total Handle	\$208,223	\$56,575	\$73,903	\$564,572	\$6,710,053	\$10,122,990	\$39,265	\$1,967,201	\$19,742,843
In-State Races	\$0	\$2,721	\$0	\$56,455	\$862,868	\$1,766,662	\$14,981	\$255,584	\$2,969,270
Out-of-State Races	\$208,223	\$53,855	\$73,903	\$508,117	\$5,847,185	\$8,356,328	\$24,284	\$1,711,677	\$16,783,573
Excise Tax - ADW	\$3,123	\$849	\$1,109	\$9,499	\$100,651	\$151,845	\$589	\$29,509	\$296,143
Host Track - Purses	\$1,327	\$361	\$471	\$3,599	\$42,777	\$64,534	\$250	\$12,541	\$125,861
Host Tracks	\$1,327	\$361	\$471	\$3,599	\$42,777	\$64,534	\$250	\$12,541	\$125,861
General Fund	\$469	\$127	\$166	\$1,270	\$15,088	\$22,777	\$88	\$4,426	\$44,421

Total Distributions by Account

The General Fund line includes amounts that are deposited to various accounts. These caps are calculated from deposits resulting from the excise tax for conducting pari-mutuel wagering on historical horse racing. Since these amounts are calculated as a whole and not per licensee, a one-time transfer will be made once the caps are met within the fiscal year. At the end of the fiscal year, approximately \$2M must be deducted from this line in order to determine the actual amount retained by the General Fund. Please contact the Department of Revenue with any questions regarding these transfers.

Total Excise Tax	\$10,505,719
Equine Drug Research	\$3,408
Equine Industry Program	\$3,053
Higher Education Program	\$3,408
K, Standardbred Development Fund	\$1,309,716
K, Thoroughbred Development Fund	\$4,134,365
K, Quarter Horse Development Fund	\$295
Host Track - Purses	\$125,861
Host Tracks	\$125,861
General Fund (See Note on Caps)	\$4,799,783
Bodesty Improvement Fund	\$4,495



Pari-Mutuel Wagering for FY 2023 (YTD)

Report Period - Fiscal Year  
FY 2023

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 133 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

On-Track Wagers on Live Horse Racing

	Licensee / Facility									Grand Total
	Churchill Downs Racetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, LLC	Keeneland Association, Inc.	Kentucky Downs, LLC	Lexington Thoroughbred Association, LLC	Turfway Park, LLC	WKY Development, LLC		
	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Ellis Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turfway Park Racetrack	Oak Grove Racetrack	
Race Dates	39	0	17	23	19	8	29	18	8	161
On-Track Handle	\$10,881,136		\$93,591	\$2,606,060	\$36,218,929	\$1,530,499	\$1,049,495	\$961,303	\$44,229	\$53,385,243
Return to Public	\$8,133,265		\$69,321	\$1,937,371	\$27,306,811	\$1,248,116	\$895,387	\$684,912	\$34,088	\$40,308,250
Commission	\$2,098,215		\$21,408	\$505,474	\$6,637,215	\$261,371	\$235,866	\$187,140	\$9,668	\$9,954,357
Settlement	\$635,719		\$3,958	\$158,430	\$2,250,129	\$22,328	(\$71,815)	\$88,643	\$241	\$3,087,634
Breakage	\$17,350		\$73	\$5,448	\$26,666	\$1,283	\$664	\$609	\$302	\$52,396
Minus Pools	(\$1,414)		(\$1,169)	(\$664)	(\$1,892)	(\$588)	(\$11,606)	(\$1)	(\$49)	(\$17,393)
Daily Average Live Handle	\$279,003		\$5,505	\$113,307	\$1,906,259	\$191,312	\$36,189	\$53,406	\$5,529	\$331,585
Backside Improvement Fund	\$54,406		\$0	\$13,030	\$181,095	\$7,652	\$0	\$4,807	\$0	\$260,990
Excise Tax	\$163,217		\$1,404	\$39,091	\$230,268	\$22,957	\$15,742	\$14,420	\$663	\$487,783
Thoroughbred Development Fund	\$81,609	\$0	\$0	\$19,545	\$115,134	\$11,479	\$0	\$7,210	\$0	\$234,977
Standardbred Development Fund	\$0		\$936	\$0	\$0	\$0	\$10,495	\$0	\$442	\$11,873
Equine Drug Research	\$10,881		\$94	\$2,606	\$15,351	\$1,530	\$1,049	\$961	\$44	\$32,518
Higher Ed Equine Trust	\$10,881		\$94	\$2,606	\$15,351	\$1,530	\$1,049	\$961	\$44	\$32,518
Equine Industry Programs	\$21,762		\$187	\$5,212	\$30,702	\$3,061	\$2,098	\$1,923	\$88	\$65,035
General Fund	\$38,084		\$94	\$9,121	\$53,729	\$5,357	\$1,049	\$3,365	\$44	\$110,843

Simulcast Wagers on Interstate and Intertrack Live Horse Racing

	Churchill Downs Racetrack	Louisville Thoroughbred Society	Cumberland Run Racetrack	Ellis Park Racetrack	Keeneland Racetrack	Kentucky Downs Racetrack	Red Mile Racetrack	Turfway Park Racetrack	Oak Grove Racetrack	Grand Total
Off-Track Handle (Simulcast)	\$10,765,365	\$86,613	\$228,825	\$5,669,902	\$13,130,499	\$6,186,114	\$9,421,969	\$4,224,090	\$922,190	\$50,585,568
Thoroughbred	\$10,535,567	\$83,520	\$216,846	\$5,157,195	\$13,087,537	\$5,670,061	\$384	\$4,084,919	\$741,547	\$38,587,375
Standardbred	\$169,120	\$1,324	\$10,071	\$434,526	\$0	\$415,072	\$9,354,908	\$399,331	\$166,462	\$10,650,813
Other Breeds	\$60,677	\$1,769	\$2,108	\$18,182	\$42,962	\$100,982	\$66,679	\$39,841	\$14,181	\$347,381
Excise Tax - Simulcast	\$192,865	\$0	\$0	\$100,158	\$233,423	\$114,890	\$0	\$71,378	\$0	\$712,713
Thoroughbred Development Fund	\$125,706	\$0	\$0	\$61,247	\$155,080	\$70,327	\$0	\$45,970	\$0	\$498,330
Standardbred Development Fund	\$2,159	\$0	\$0	\$5,322	\$0	\$5,110	\$0	\$1,154	\$0	\$13,744
Quarter Horse Development Fund	\$712	\$0	\$0	\$203	\$535	\$1,156	\$0	\$461	\$0	\$3,088
Equine Drug Research	\$6,429	\$0	\$0	\$3,339	\$7,781	\$3,830	\$0	\$2,379	\$0	\$23,757
Higher Education Equine Trust	\$6,429	\$0	\$0	\$3,339	\$7,781	\$3,830	\$0	\$2,379	\$0	\$23,757
Equine Industry Programs	\$3,214	\$0	\$0	\$1,669	\$3,890	\$1,915	\$0	\$1,190	\$0	\$11,879
General Fund	\$48,216	\$0	\$0	\$25,040	\$58,356	\$28,722	\$0	\$17,844	\$0	\$178,178

**Pari-Mutuel Wagering for FY 2023 (YTD)**

Year of Racecap  
FY 2023

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING COMMISSION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 139 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

**On-Track Wagers on Historical Horse Racing**

	Licensee / Facility									Grand Total
	Churchill Downs Racetrack, LLC	ECL Corbin, LLC	Ellis Entertainment, LLC	Kentucky Downs, LLC	Lexington Trots Breeders Association, LLC	Turfway Park, LLC	WKY Development, LLC			
	Derby City Gaming	Williamsburg	Henderson	Bowling Green	Franklin	Red Mile	Newport	Turfway Park	Oak Grove	
On-Track Handle	\$1,025,923,707	\$110,586,179	\$105,106,221	\$220,270,502	\$905,921,532	\$402,464,844	\$156,515,571	\$142,758,815	\$789,678,593	\$3,859,220,014
Return to Public	\$933,090,832	\$98,438,085	\$95,859,252	\$199,293,897	\$827,810,812	\$368,569,733	\$141,259,429	\$128,422,964	\$720,698,835	\$3,513,443,838
Pool Growth	\$991,413	\$1,239,279	\$140,373	\$388,156	\$1,016,085	(\$253,589)	\$588,018	\$566,049	(\$87,557)	\$4,598,237
Breakage	\$0	\$2,193	\$13,853	\$2,420	\$36,071	\$69,106	\$0	\$0	\$0	\$123,643
Gross Commission - Win	\$91,641,462	\$10,906,622	\$9,094,743	\$20,566,109	\$77,058,524	\$34,079,803	\$14,666,125	\$13,770,802	\$69,067,315	\$341,073,305
Excise Tax - HRR	\$15,388,859	\$1,058,793	\$1,576,623	\$3,304,059	\$13,568,823	\$6,036,873	\$2,347,734	\$2,141,387	\$11,845,178	\$57,888,435
Standardbred Development Fund	\$0	\$1,105,862	\$0	\$0	\$0	\$2,012,324	\$0	\$0	\$3,948,393	\$7,066,579
Thoroughbred Development Fund	\$7,694,428	\$0	\$788,312	\$1,652,029	\$6,794,411	\$1,509,243	\$1,173,867	\$1,070,669	\$2,961,295	\$23,644,283
General Fund and Other	\$7,694,428	\$552,931	\$788,312	\$1,652,029	\$6,794,411	\$2,515,405	\$1,173,867	\$1,070,668	\$4,935,491	\$27,177,573
Adjusted Commission - Win	\$76,452,606	\$8,247,830	\$7,518,120	\$17,282,050	\$69,469,701	\$28,042,630	\$12,320,391	\$11,629,405	\$57,222,136	\$283,184,870
Average Terminal Count	6,371	1,793	1,899	2,685	6,268	5,076	2,698	3,267	8,075	38,133
Adj. Commission-Win/Unit	\$12,000	\$5,157	\$3,959	\$6,436	\$10,125	\$5,524	\$4,567	\$3,580	\$7,086	\$7,426

**Advance Deposit Account Wagering**

	Licensee								Grand Total
	AmWest Entertainment	eBet Technologies	Lien Games Racing	NYRABets	TVG	Twinspires	WatchandWager.com	Xpressbet	
Total Handle	\$1,381,276	\$279,819	\$566,131	\$5,737,710	\$54,284,111	\$99,273,268	\$262,148	\$18,462,601	\$180,247,065
In-State Races	\$140,880	\$39,972	\$46,031	\$1,307,910	\$14,340,429	\$33,894,329	\$73,158	\$8,746,707	\$56,589,515
Out-of-State Races	\$1,240,296	\$239,847	\$520,101	\$4,429,800	\$39,943,682	\$65,378,939	\$188,992	\$11,715,894	\$123,657,550
Excise Tax - ADW	\$18,572	\$3,615	\$7,222	\$72,485	\$713,550	\$1,304,382	\$3,382	\$244,125	\$2,367,333
Host Track - Purses	\$7,863	\$1,536	\$3,069	\$30,806	\$303,259	\$554,362	\$1,437	\$103,753	\$1,006,117
Host Tracks	\$7,863	\$1,536	\$3,069	\$30,806	\$303,259	\$554,362	\$1,437	\$103,753	\$1,006,117
General Fund	\$2,786	\$542	\$1,063	\$10,873	\$107,033	\$195,657	\$507	\$36,619	\$355,100

**Total Distributions by Account**

Total Excise Tax	\$81,456,245
Equine Drug Research	\$96,275
Equine Industry Program	\$76,914
Higher Education Program	\$56,275
K. Standardbred Development Fund	\$7,092,196
K. Thoroughbred Development Fund	\$24,337,590
K. Quarter Horse Development Fund	\$3,068
Host Track - Purses	\$1,006,117
Host Tracks	\$1,006,117
General Fund (See Note on Caps)	\$27,821,894
Backside Improvement Fund	\$280,990